FIRST DETECTION OF SOLAR NEUTRINOS FROM THE CNO FUSION CYCLE WITH THE BOREXINO DETECTOR

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STUDYING THE SUN WITH NEUTRINOS...

Our Sun emits a tremendous number of neutrinos due to the fusion reactions occurring in its core:

 ${f 4\,p
ightarrow lpha+2\,e^++2\,
u_e} \qquad {f E_{
m released}\sim 26\,MeV}$

Neutrinos interacts through the weak-interaction only:

 $\sigma \approx 10^{-44} \,\mathrm{cm^2}$ @ 1 MeV

They are very elusive and thus, they are a very powerful tool to study astrophysical objects.

Photons massively interact with the solar plasma and take about 10^5 years to reach our star surface.

Instead, neutrinos only take about the famous 8 minutes to travel from their production site to the Sun surface and to the Earth.

get a real snap-shot of the Sun and (true) real time informations.

WHAT ARE SOLAR NEUTRINOS?



PP CHAIN VS CNO CYCLE

In the Sun, the CNO cycle is subdominant with respect to the pp-chain

BUT

In massive stars, having higher (T $\gtrsim 2x10^7$ K) temperature in their cores, the CNO cycle is the dominant energy source.

the CNO fusion cycle the main Hydrogen-to-Helium conversion process in the stars!

It was never directly observed before Borexino result in 2020.



W.C. Haxton and A. M. Serenelli, Astrophys. Journal 687:678 (2008)

THE STANDARD SOLAR MODEL

A Standard Solar Model (SSM) is a complex container where input parameters (such as Sun luminosity, age, mass, radius, chemical elements abundances, cross-sections, radiative opacity, metallicity....) are considered all together and result in expectations about the neutrino fluxes and helioseismology.

Flux	B16-GS98	B16-AGSS09met
$\Phi(pp)$	$5.98(1 \pm 0.006)$	$6.03(1 \pm 0.005)$
$\Phi(\text{pep})$	$1.44(1 \pm 0.01)$	$1.46(1 \pm 0.009)$
Φ (hep)	$7.98(1 \pm 0.30)$	$8.25(1 \pm 0.30)$
$\Phi(^7\text{Be})$	$4.93(1 \pm 0.06)$	$4.50(1 \pm 0.06)$
$\Phi(^{8}B)$	$5.46(1 \pm 0.12)$	$4.50(1 \pm 0.12)$
$\Phi(^{13}N)$	$2.78(1 \pm 0.15)$	$2.04(1 \pm 0.14)$
$\Phi(^{15}\text{O})$	$2.05(1 \pm 0.17)$	$1.44(1 \pm 0.16)$
$\Phi(^{17}\text{F})$	$5.29(1 \pm 0.20)$	$3.26(1 \pm 0.18)$
Model and S 10 ⁸ (pep	olar Neutrino Fluxes. Units , ¹³ N, ¹⁵ O), 10 ⁶ (⁸ B, ¹⁷ F), a	s Are: 10^{10} (pp), 10^9 (⁷ Be), and 10^3 (hep) cm ⁻² s ⁻¹

The METALLICITY Puzzle



B16-SSM: N. Vinyoles et al., Astrophys. Journal 835:202 (2017)

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About 9% difference About 18% difference

About 28% difference

THE SOLAR NEUTRINO SPECTRUM



THE SOLAR NEUTRINO SPECTRUM



THE SOLAR NEUTRINO SPECTRUM



LABORATORI NAZIONALI DEL GRAN SASSO



The LNGS altitude is 963 m and the average rock cover is about 1400 m. The shielding capacity against cosmic rays is about 3800 m.w.e.:

in Borexino the muon flux is reduced by a factor 10⁶ with respect to the surface. $\Phi(\mu) pprox 1\,\mu/{
m m}^2/{
m h}$

THE BOREXINO EXPERIMENT

- Original goal: the detection of low energies solar neutrinos, in particular ⁷Be neutrinos.
- Detection method: elastic scattering of neutrinos on electrons.

 $u_x + e \rightarrow \nu_x + e \quad x = e, \mu, \tau$

- Detection medium: large mass of organic liquid scintillator.
 - Advantage: large light-yield;
 - Disadvantage: no directional information.

Signal is indistinguishable from background: high radiopurity is a MUST!

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The expected rate of ⁷Be solar-v in 100 ton of BX scintillator is about 50 counts/day which corresponds to 10^{-9} Bq/Kg.

Just for comparison, natural water is about 10 Bq/Kg in 238 U, 232 Th and 40 K.

THE BOREXINO EXPERIMENT (2)

Scintillator:

280 ton of PC+PPO in a 125 µm thick nylon vessel; Fiducial mass ~ 100 ton; Electron density: $(3.307 \pm 0.003) \times 10^{29}$ /ton Mass density: $\simeq 0.879\,{\rm g/cm^3}$

Nylon vessels: Outer: 5.50 m Inner: 4.25 m



Stainless Steel Sphere: 2212 PhotoMultipliers

Non-scintillating buffer: 900 ton of guenched scintillator

Water Tank: 2.8 kton of pure $H_{2}O$ γ and n shield

µ water Č detector 208 PMTs in water

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D_O



HOW TO EXTRACT A NEUTRINO SIGNAL?



Even at the Borexino very high radiopurity conditions, we still have background events contaminating our solar neutrino signal and we need to apply software cuts to data, in order to remove as much background as possible. Furthermore, we need a powerful tool to separate the signal from the residual background components. Seminario UNIMI | March 8, 2021 Alessandra Carlotta

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D_O

THE THREE-FOLD COINCIDENCE TECHNIQUE

The TFC technique is foundamental to improve the fit capability to disantagle the ¹¹C contamination from the pep & CNO neutrino signals.



The likelihood that a certain event is ¹¹C is obtained using:

- Distance in space and time from the µ-track;
- Distance from the neutron;
- neutron multiplicity;
- Muon dE/dx and number of muon clusters per event.

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A COMPREHENSIVE SOLAR NEUTRINO SPECTROSCOPY WITH BOREXINO

The Borexino experiment has never been so performing...

- 1. Improved radiopurity, because of the purification campaign;
- 2. Increased statistics;
- 3. Increased stability of the detector;
- 4. Better comprehension of the details of the energy scale and detector response.

.... So all challenges at once!

For the first time we are able to perform a simultaneous fit on the whole solar neutrino energy region.

A COMPREHENSIVE SOLAR NEUTRINO SPECTROSCOPY WITH BOREXINO





THE PP-CHAIN SOLAR-V MEASUREMENT



Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

Data-set: Phase-II (December 2011 - May 2016) ---> Exposure: 1292 days x 71.3 t
LER Fit range: 0.19 - 2.93 MeV (Low Energy Region: pp, pep and ⁷Be v).
Software cuts: 1) Removing muons
2) Selecting a fiducial volume (r < 2.8 m, -1.8 m < z < 2.2 m)</p>

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THE PP-CHAIN SOLAR-V MEASUREMENT (2)

Main LER background sources:

- ¹⁴C: irreducible background in any organic scintillator;
- ²¹⁰Bi: comes from ²¹⁰Pb, is not in equilibrium with the ²³⁸U chain;
- ²¹⁰Po: comes from ²¹⁰Bi, is not in equilibrium with the ²³⁸U chain;
- ⁸⁵Kr: present in air;
- ¹¹C: produced by μ;
- **pile-up** of events (mainly ¹⁴C-¹⁴C);



Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

THE PP-CHAIN SOLAR-V MEASUREMENT (3)

The data set is presented as two energy spectra: one with ¹¹C included (TFC-tagged) and one depleted in ¹¹C (TFC-subtracted) which are then simultaneously fit.



Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

THE PP-CHAIN SOLAR-V MEASUREMENT (4)

A Multivariate fit is performed and the neutrino interaction rates are obtained by maximizing a binned likelihood function which includes:

> N_h 500 600

0 1500 Energy (keV)

700

pile-up ext bkg

2500

2500

pile-up

ext bkg

- Total fit: p-value=0.7

2000

Total fit: p-value=0.7

2000

400

Counts / (day x 100 ton x N_h) 0 0 0 0 0

Do

500

500

1000

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Energy (keV)

Energy spectra TFC subtracted);

2. e⁻/e⁺ pulse-shape distribution PS-L_{PR};

3. Radial distribution.





Electrons Positrons

Best Fit - x²/NDF = 85.1/107

THE PP-CHAIN SOLAR-V MEASUREMENT (5)

Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

Solar v	BOREXINO	B16(GS98) – HZ	B16(AGSS09) - LZ
pp	$6.1(1\pm11.6\%) imes10^{10}$	$5.98(1\pm0.6\%) imes10^{10}$	$6.03(1\pm0.5\%) imes10^{10}$
⁷ Be	$4.99(1\pm3.3\%) imes10^9$	$4.93(1\pm 6\%) imes 10^9$	$4.50(1\pm 6\%) imes 10^9$
pep (HZ)	$1.27(1 \pm 17.7\%) \times 10^8$	$1.44(1\pm0.9\%) imes10^{8}$	
pep (LZ)	$1.39(1\pm 16.6\%) imes10^{8}$		$1.46(1\pm0.9\%) imes10^8$
CNO	$< 7.9 \times 10^8 \; (95\% {\rm C.L.})$	$4.88(1\pm11\%)\times10^{8}$	$3.51(1\pm 10\%) imes 10^8$
${}^{8}\mathbf{B}$	$5.68(1\pm8\%) imes10^{6}$	$5.46(1 \pm 12\%) \times 10^{6}$	$4.50(1 \pm 12\%) \times 10^{6}$

All fluxes results are given in cm⁻² s⁻¹.

B16 Neutrino theoretical fluxes from: N. Vinyoles et al., Astrophys. Journal 835:202

(2017)

Neutrino oscillation parameters from: I. Esteban et al., JHEP 01 (2017)

All rates and fluxes are fully compatible with and improve the uncertainty of the previously published Borexino results.

Solar v	Uncertainty reduction (err _{new} /err _{old})				
pp	0.78				
⁷ Be (862 keV)	0.57				
pep	0.61				
^{8}B	0.48				
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⁷ Be	$4.99(\pm 3.3\%) \times 10^9$	$4.93(1\pm6\%) imes10^{9}$	$4.50(1\pm 6\%) imes 10^9$
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THE PP-CHAIN SOLAR-V MEASUREMENT: ASTROPHYSICAL IMPLICATIONS



Probing <u>solar fusion</u> by studying the two primary modes of terminating the pp-chain.

$$\mathcal{R} = rac{2\Phi(^7\mathrm{Be})}{[\Phi(pp) - \Phi(^7\mathrm{Be})]}$$

B16-SSM expected values:

 $R = 0.180 \pm 0.011$ (HZ)

 $R = 0.161 \pm 0.010$ (LZ)

Borexino result:

 $R = 0.178^{+0.027}_{-0.023}$

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Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

THE PP-CHAIN SOLAR-V MEASUREMENT: ASTROPHYSICAL IMPLICATIONS (2)





Using Borexino results only we can calculate the neutrino <u>solar luminosity</u>: $L_{\nu} = (3.89^{+0.35}_{-0.42}) \times 10^{33} \text{ erg s}^{-1}$ which is found to be in agreement with the well measured photon value:

 $L_{\rm ph} = (3.846 \pm 0.015) \times \ 10^{33} \ erg \, s^{-1}$

This confirms the nuclear origin of the solar power!

It proves that the Sun has been in thermodynamic equilibrium over the last 10⁵ years (the time required for radiation to flow from the center to the surface of the Sun).

THE PP-CHAIN SOLAR-V MEASUREMENT: ASTROPHYSICAL IMPLICATIONS (3)

Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

The Metallicity Puzzle

The Borexino combined results on ⁷Be and ⁸B neutrino fluxes seem to give an hint towards the High Metallicity scenario:

> p-value (HZ) = 0.87 p-value (LZ) = 0.11

We are now largely dominated by the theoretical SSM errors.



Global analysis performed over BX+SNO+SK+KL data, assuming SSM solar-v fluxes from *N. Vinyoles et al., Astrophys. Journal 835:202 (2017) and* neutrino oscillation parameters from *I. Esteban et al., JHEP 01 (2017).*

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THE PP-CHAIN SOLAR-V MEASUREMENT: NEUTRINO PHYSICS IMPLICATION

Studying the Sun with neutrinos... and studying neutrinos with the Sun: testing the MSW-LMA scenario



SSM-HZ solar-v fluxes from N. Vinyoles et al., Astrophys. Journal 835:202 (2017) Neutrino oscillation parameters from I. Esteban et al., JHEP 01 (2017).

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Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

FROM THE PP-CHAIN MEASUREMENT... ... TO THE CNO-CYCLE MEASUREMENT



How to extract the CNO-v signal?



Data-set: Phase-III (July 2016 - February 2020) --> Exposure: 1072 days x 71.3 t

Fit range: 0.32 - 2.64 MeV.

Software cuts: 1) Removing muons 2) Selecting a fiducial volume (r < 2.8 m, -1.8 m < z < 2.2 m) Seminario UNIMI | March B, 25 ing/Subtracting ¹¹C background Alessandra Carlotta



How to extract the CNO-v signal?



Strategy:

Exploiting the difference in the energy distribution of signal and backgrounds to separate them.

The spectral shapes for both components are generated in a Borexino-tailored Geant4 Monte Carlo framework.

THE **BX** PREDICTED SPECTRAL SHAPES



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TOWARDS THE CNO-V MEASUREMENT

The similarity between the CNO, pep and ²¹⁰Bi spectral shapes limits the sensitivity of Borexino.



The predicted neutrino rates do not help:

- CNO v ~ 4-5 cpd/100 ton
- pep v ~ 3 cpd/100 ton
- ²¹⁰Bi ~ 15-20 cpd/100 ton

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THE PP/PEP RATIO CONSTRAINT



To reduce correlations we put a constraint on the pp/pep ratio following

the theoretical predictions as described in Nature 562 (2018) artous.

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THE PP/PEP RATIO CONSTRAINT



Still, the ²¹⁰Bi spectrum is quasi-degenerate with the CNO neutrino one.....

Nature 587 (2020) 577

To reduce correlations we put a constraint on the pp/pep ratio following

the theoretical predictions as described in Nature 562 (2018) a 505.

THE BISMUTH-210 CONSTRAINT

The ²¹⁰Bi spectrum is still quasi-degenerate with the CNO neutrino one.....

.... But the ²¹⁰Bi rate can be constrained by precisely (and indipendently) mapping the ²¹⁰Po $\xrightarrow{210}{Pb} \xrightarrow{210}{23 y} \xrightarrow{210}{Bi} \xrightarrow{\beta^-}{5 d} \xrightarrow{210}{Po} \xrightarrow{\alpha}{138 d} \xrightarrow{206}{Pb}$ (stable)



²¹⁰Po is "easier" to identify than ²¹⁰Bi:

- α decay \rightarrow pulse shape discrimination
- Monoenergetic "gaussian" peak



Nature 587 (2020) 577

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TOWARDS THE CNO-V MEASUREMENT (2)

Unluckily, life is not that easy.

The convective motions triggered by seasonal changes in temperature bring inside the scintillator an unknown amount of ²¹⁰Po which has been present on the nylon Inner Vessel.

This breaks the secular equilibrium of the ²¹⁰Pb chain!

Before performing any counting analysis, we had to thermally insulate the detector to stop convective motions!

MAIN CONCEPT:

Strong and stable vertical gradient prevents convective motions



THE DETECTOR THERMAL INSULATION

The Borexino detector is covered with a 20cm-thick layer of rock wool



Before the thermal insulation (Mid 2015)



After the thermal insulation (Early 2016)

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THE DETECTOR THERMAL INSULATION (2)

The Active Temperature Control System (ATCS)



EFFECTS ON POLONIUM-210

²¹⁰Po counting rate inside the Inner Vessel scintillator volume TOP 140 1001] 210 210Po Rate [cpd/100t] 15 14.8 J Detector Vertical projection Average Temperature 14.6 80 60 14.4 40 14.2 20 0 2020/03/08 time [day] 2013/04/24 2014/09/08 2016/01/23 2017/06/08 2018/10/23 BOT

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THE LOW POLONIUM FIELD: LPOF

There is an innermost region almost free of convective currents: the Low Polonium Field (LPoF);

Cross-checked with numerical fluid dynamics simulation.

 $R(^{210}Bi) < 11.5 \pm 1.04 \text{ cpd}/100t$



BISMUTH-210 UNIFORMITY

Nature 587 (2020) 577

The ²¹⁰Bi upper limit can be extended over the full FV <u>if and only</u> ²¹⁰Bi is uniform both in the angular and radial distributions: it is found uniform within error!

Systematic uncertainty: 0.78 cpd/100 t

²¹⁰Bi stable in time \implies ²¹⁰Pb leaching from the nylon vessel is negligible

Final constraint on ²¹⁰Bi:

R(²¹⁰Bi) < 11.5 ± 1.3 cpd/100t

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Angular Power Spectra

TOWARDS THE CNO-V MEASUREMENT (3)

A Multivariate fit is performed and the neutrino interaction rates are obtained by maximizing a binned likelihood function which includes both the ¹¹C-subtracted and ¹¹C-tagged energy spectrum, as well as the radial distribution. The rate of signals and backgrounds are left free parameters of the fit with the two discussed exceptions: ²¹⁰Bi and pep.



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THE CNO MEASUREMENT: RESULTS



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THE CNO MEASUREMENT: RESULTS (2)



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CONCLUSIONS AND PERSPECTIVES

Solar neutrinos were and still are essential in proving how the Sun shines and in discovering and studying the physics of neutrino oscillations.

Borexino has mapped out the entire pp solar fusion chain with high precision and it has demonstrated the existence of CNO solar neutrinos for the first time (significance 5σ).

Low-energy electron scattering can probe interesting new physics: we can simultaneously test the P_{ee} in the vacuum and matter dominated region.

The combination of the ⁷Be and ⁸B v measurements hints towards the SSM High Metallicity scenario. A more precise measurement of CNO neutrinos rate could give us key knowledge of the Sun's metallicity and of how the massive stars burns.





CNO ANALYSIS: SYSTEMATICS

Systematic errors



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THE CNO MEASUREMENT: RESULTS (3)



CNO ANALYSIS: LZ/HZ DISCRIMINATION



Frequentist hypothesis test: even in the most optimistic case the discrimination power is small, due to large theoretical uncertainties

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⁷BE-N FLUX SEASONAL MODULATIONS

We searched for the seasonal variations of the neutrino interaction rate due to the varying distance L(t) between Sun and Earth during the year.

Astronomical observations:

- T = 365.256 d
- ε = 0.0167

Different Data Analysis Method:

- Analytical Fit
- Lomb-Scargle (Fourier Transform)
- Empirical Mode Decomposition

Borexino results:

- T = 367 ± 10 d
- $\epsilon = 0.0174 \pm 0.0045$



Astr. Phys. 92 (2017) 21

⁷BE-N FLUX SEASONAL MODULATIONS Astr. Phys. 92 (2017) 21

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- T = 365.256 d
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Borexino results:

- T = 367 ± 10 d
- $\epsilon = 0.0174 \pm 0.0045$

The absence of seasonal modulation is ruled out at 99.99% C.L. (3.91σ) .

All approaches show consistency with the solar origin of ⁷Be neutrinos!



THE PP-CHAIN SOLAR-V MEASUREMENT

Nature 562 (2018) 505; Physical Review D 100, 082004 (2019)

Two methods to take into account pile-up

Effects of non perfect modelling of the detector <

⁸⁵Kr constrained to be <7.5cpd/100t (95% C.L.) from Kr-Rb delayed coincidences

	<i>pp</i> neutrinos		⁷ Be neutrinos		pep neutrinos	
Source of uncertainty	-%	+%	-%	+%	-%	+%
Fit models (see text)	-4.5	+0.5	-1.0	+0.2	-6.8	+2.8
Fit method (analytical/Monte Carlo)	-1.2	+1.2	-0.2	+0.2	-4.0	+4.0
Choice of the energy estimator	-2.5	+2.5	-0.1	+0.1	-2.4	+2.4
Pile-up modeling	-2.5	+0.5	0	0	0	0
Fit range and binning	-3.0	+3.0	-0.1	+0.1	-1.0	+1.0
Inclusion of the ⁸⁵ Kr constraint	-2.2	+2.2	0	+0.4	-3.2	0
Live time	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Scintillator density	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Fiducial volume	-1.1	+0.6	-1.1	+0.6	-1.1	+0.6
Total systematics (%)	-7.1	+4.7	-1.5	+0.8	-9.0	+5.6

LER ANALYSIS - SYSTEMATIC ERRORS

NON STANDARD INTERACTION WITH BOREXINO



JHEP 2 (2020) 038

THE PP-CHAIN SOLAR-V MEASUREMENT

Main HER analysis features:

- Data-set: January 2008 December 2016 (purification period excluded);
- Fiducial mass: extended to the entire active mass (from ~100 t to 300 t);
- Fit range: 3.2 -16 MeV;
- Total exposure: 1.5 kton x year (11.5-fold increase).

New strategy! A MonteCarlo radial fit on Low Energy (HER-I: 3.2-5 MeV) and High Energy (HER-II: 5-16 MeV) sectors so to better handling the background.

Ê

0.10

Events / (1494 days

× 10

Extracting the neutrino signal from data:

Residual backgrounds affecting the ⁸B energy region are:

- ²⁰⁸Tl (emanated from PMTs, from the vessel or internal);
- cosmogenic isotopes; ²¹⁴Bi (internal).

D_O

2.5 Radius [m] 3.5

4.5

3

Model

Neutron captures ²⁰⁸TI: bulk

TI: emanation

1.5

Nature 562 (2018) 505

THE PP-CHAIN SOLAR-V MEASUREMENT

HER ANALYSIS - SYSTEMATIC ERRORS

	HER-I		HER-II		HER (tot)	
Source of uncertainty	-%	+%	-%	+%	-%	+%
Target mass	-2.0	+2.0	-2.0	+2.0	-2.0	+2.0
Energy scale	-0.5	+0.5	-4.9	+4.9	-1.7	+1.7
z-cut	-0.7	+0.7	0	0	-0.4	+0.4
Live time	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Scintillator density	-0.05	+0.05	-0.05	+0.05	-0.05	+0.05
Total systematics (%)	-2.2	+2.2	-5.3	+5.3	-2.7	+2.7