

# WHY AND HOW THE SUN AND THE STARS SHINE

Since the early days of humanity, man, when looking at the Sun or contemplating the starry sky at night, has been wondering what those light were and how they were able to shine. Now, finally, an experiment, Borexino, provided the definitive answer to this millennial question of mankind

G.Bellini, *Why and how the Sun and the stars shine*, Nuovo Saggiatore Vol. 36, anno 2020, no. 5-6



# Borexino time table

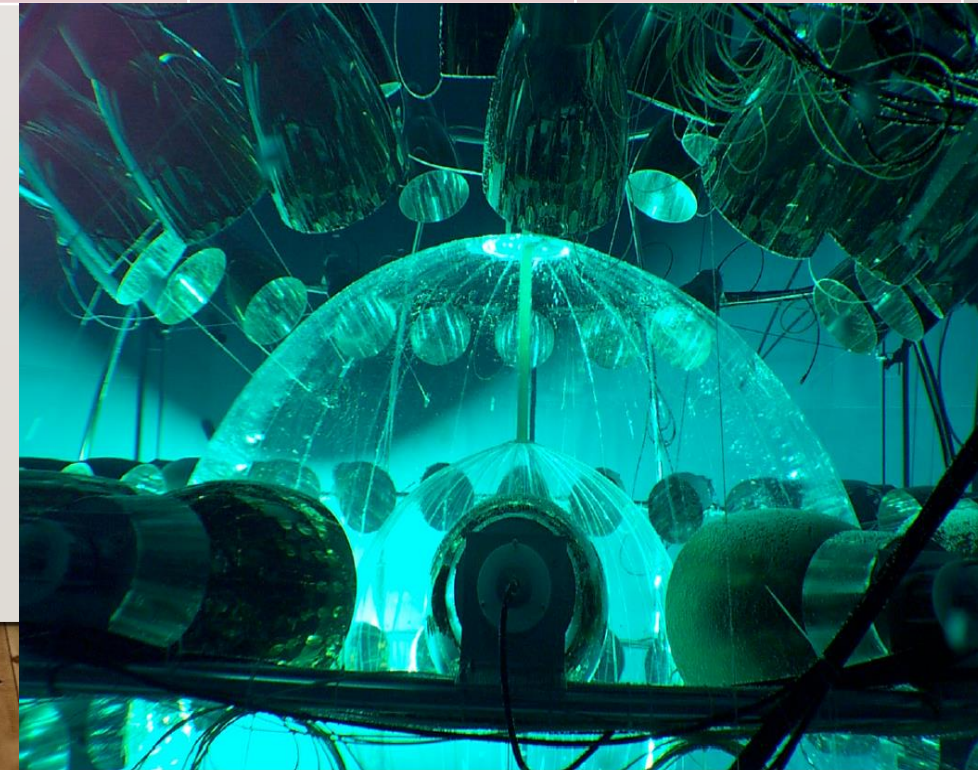
A challenge which lasted 33 years

1988-1990	1990-1995	1995-2007	2007-2010	2011-2016	2016-2021
Design of the experiment	R&D of innovative radio-purification methods- C.T.F.	Detector construction	Phase I and further radio-purification	Phase 2	Phase 3

## The counting test facility- CTF

*A bench mark for Borexino –its aim was to measure the reached radiopurity and then be able to decide if Borexino was an impossible challenge or a challenge can be dealt with,*

In Borexino we applied the principle of graded shielding: it is implemented deploying the inner scintillating core at the centre of a set of concentric shells of increasing radiopurity





**Preliminary shielding:** the overburden of the Gran Sasso Lab, - 4000 m water equivalent

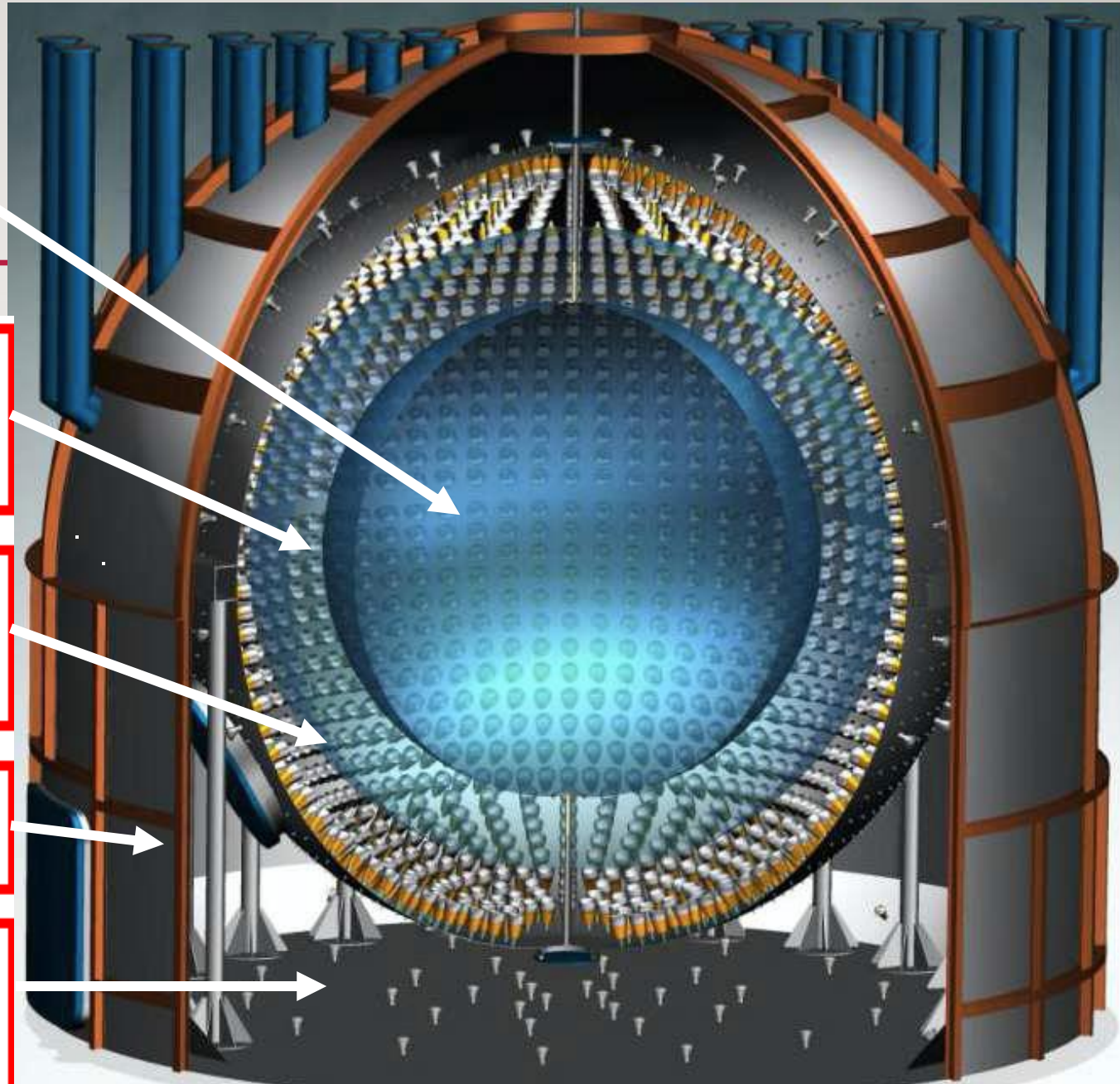
**Core of the detector:** 300 tons of liquid scintillator (PC+PPO) contained in a nylon vessel of 4.25 m radius;

**1<sup>st</sup> shield:** 1000 tons of ultra-pure buffer liquid (pure PC) contained in a stainless steel sphere of 7 m radius;

**2214 photomultiplier tubes** pointing towards the center to view the light emitted by the scintillator;

**2<sup>nd</sup> shield:** 2000 tons of ultra-pure water contained in a cylindrical dome;

**200 PMTs** mounted on the SSS pointing outwards to detect light emitted in the water by muons crossing the detector;



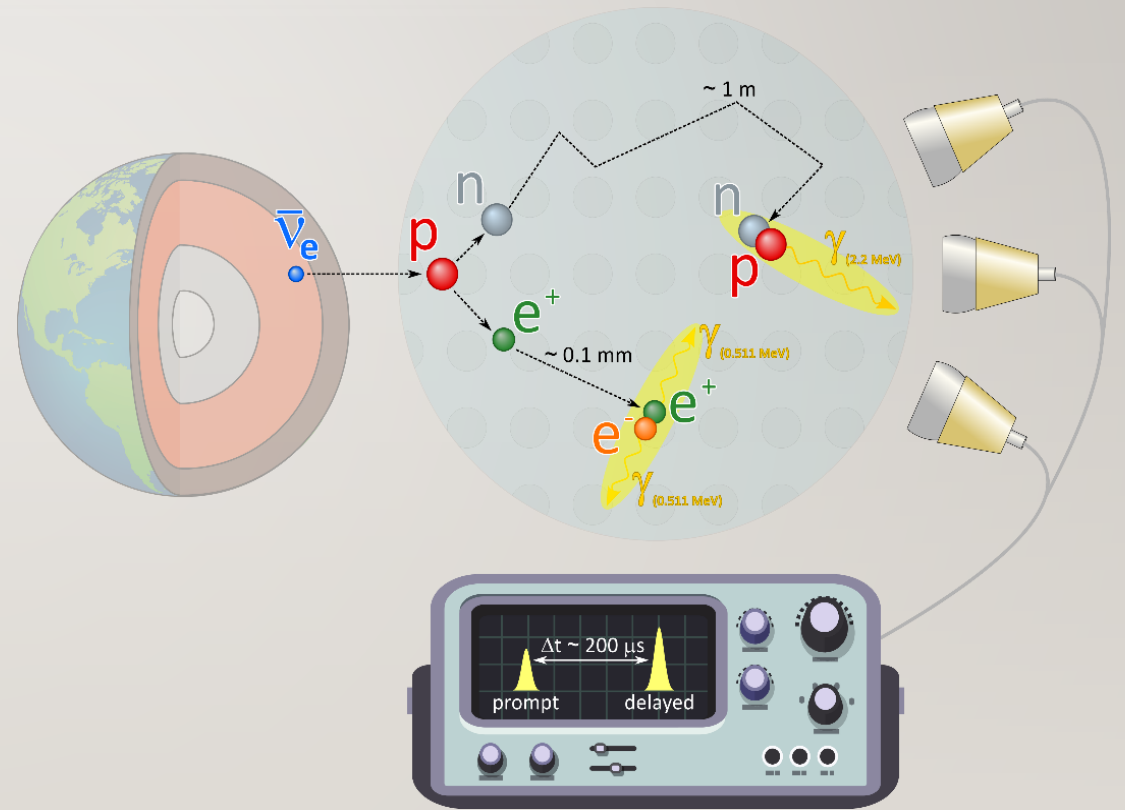
# Neutrino and antineutrino detection

$$\nu_x + e^- \rightarrow \nu_x + e^-$$

$e^-$  track length negligible

$$\bar{\nu} + p \rightarrow e^+ + n - 1.806 \text{ MeV}$$

The outgoing positron promptly annihilates producing two 511 keV gammas: **prompt signal**.  
The outgoing neutron takes a mean time of  $\sim 256 \mu\text{s}$  in Borexino to thermalize and then to be captured by a proton, producing a deuteron with the emission of 2.2 MeV gamma: **delayed signal**

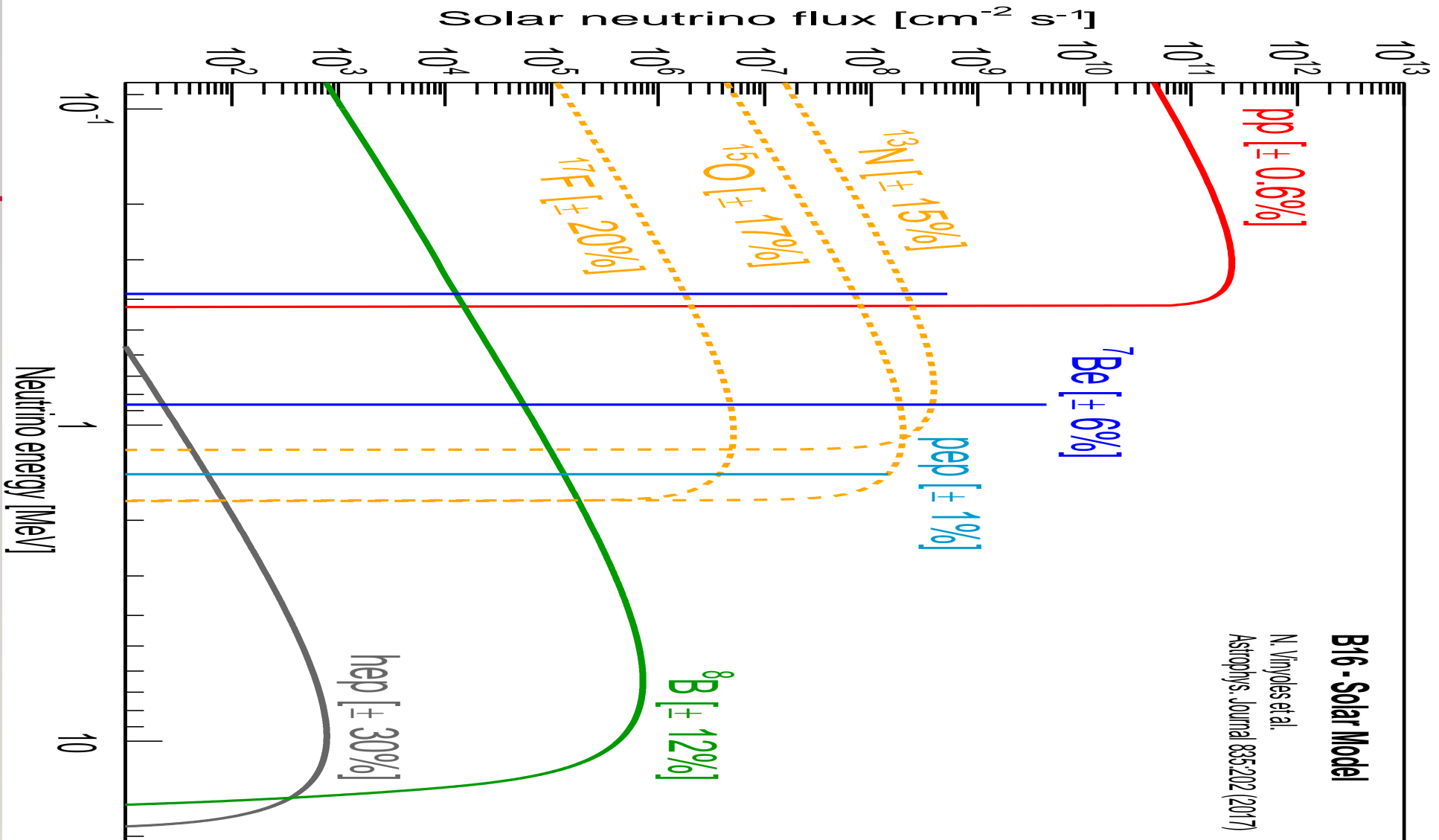


Threshold at 100 keV; previous experiment showed with a threshold at 5 MeV, later reduced to 4 MeV.





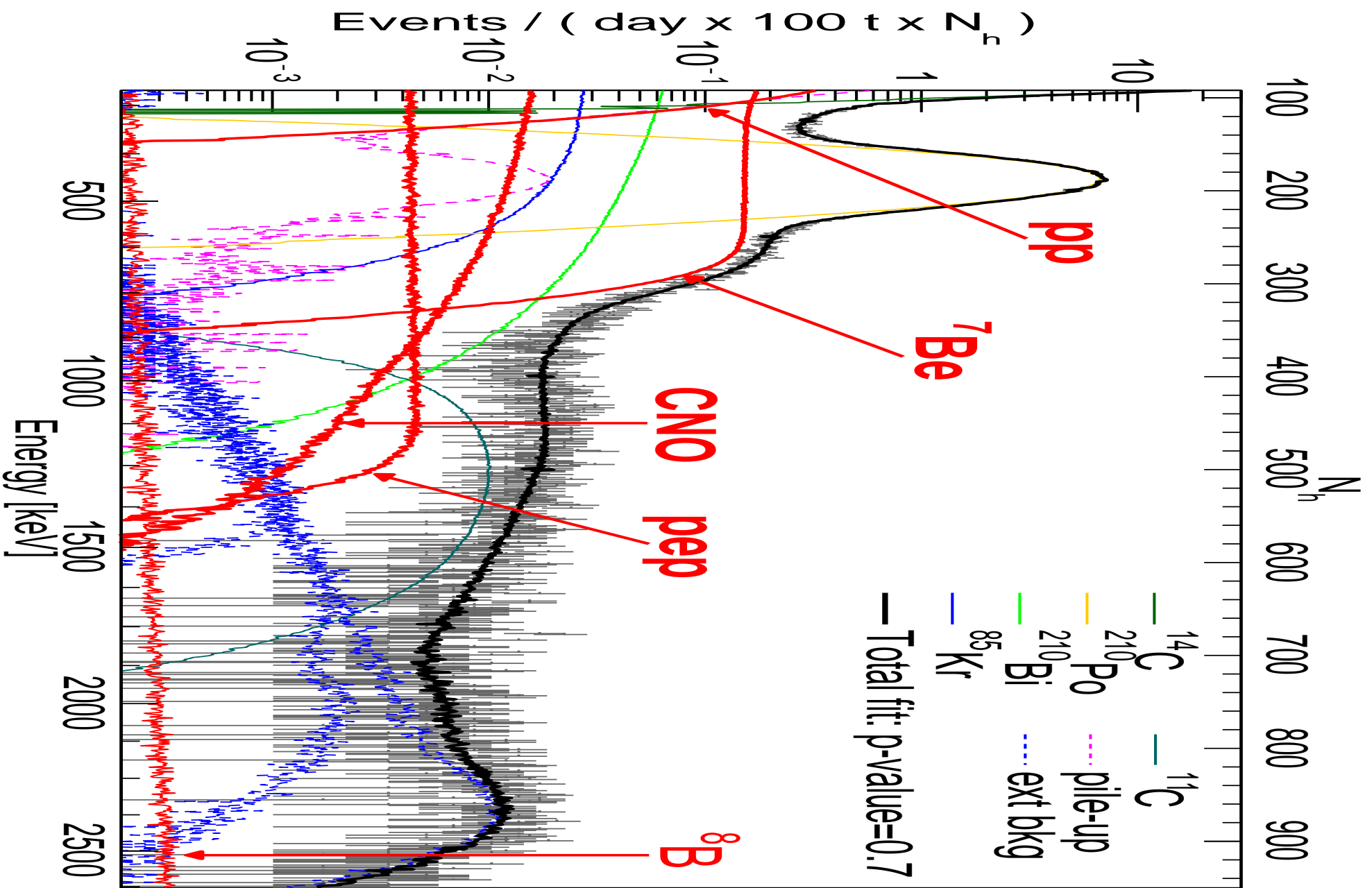
# Solar neutrinos energy spectrum



Radio isotope	Source	Software reduction	Achieved Phase1	Achieved Phase2
$^{14}\text{C}$	Intrinsic PC	Threshold Fit on the shape	$\approx 2 \cdot 10^{-18} \text{ }^{14}\text{C}/^{12}\text{C}$	
$^{238}\text{U}$ $^{235}\text{Th}$	Dust, particulate all materials	$\alpha/\beta$ tagging fit	$1.67 \pm 0.06) \cdot 10^{-17}$ $(4.6 \pm 0.8) \cdot 10^{-18} \text{ g/g}$	$< 9.5 \cdot 10^{-20}$ $< 7.2 \cdot 10^{-19} \text{ g/g}$
$^{85}\text{Kr}$	Air, weapons		$30 \pm 5 \text{ cpd/100t}$	$6.8 \pm 0.8 \text{ cpd/100t}$
$^{85}\text{Ar}$	Air, cosmogenic	fit	$<< 1 \text{ cpd/100t}$	
$^{210}\text{Po}$	Embedded on surfaces	fit	$500\text{-}100 \text{ cpd/100t}$	Natural decay
$^{222}\text{Rn}$ and its progeny	In the underground air and water	$\alpha/\beta$ tagging, delayed coincidences	$< 1 \text{ cpd/100t}$	

# Solar pp cycle





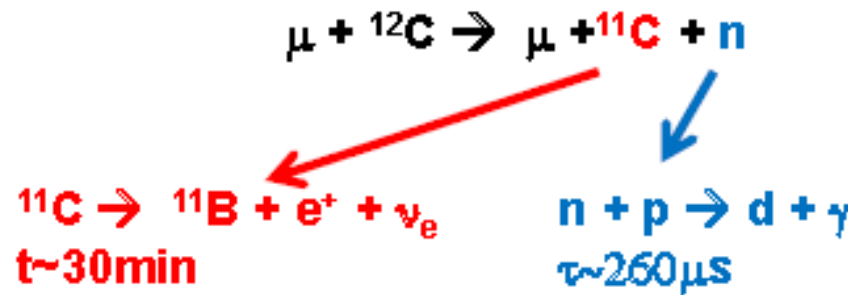
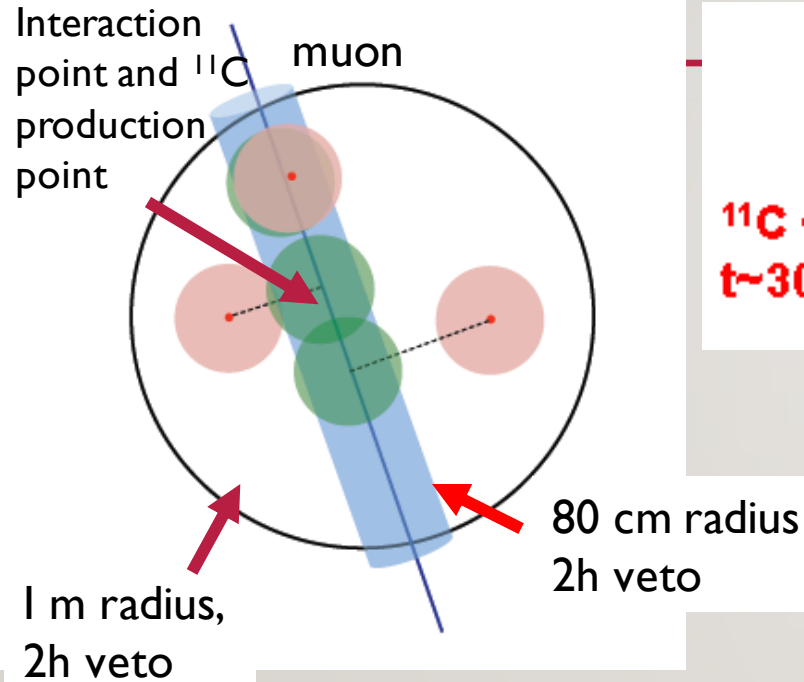
# $^{11}\text{C}$ reduction

Cosmogenic muons ( $1.2 \mu \text{ m}^{-2} \text{ h}^{-1}$ )  
Constant rate

1. TFC prompt and delayed signal. Reduction to 10%
2. Pulse shape discrimination. Reduction to 5%

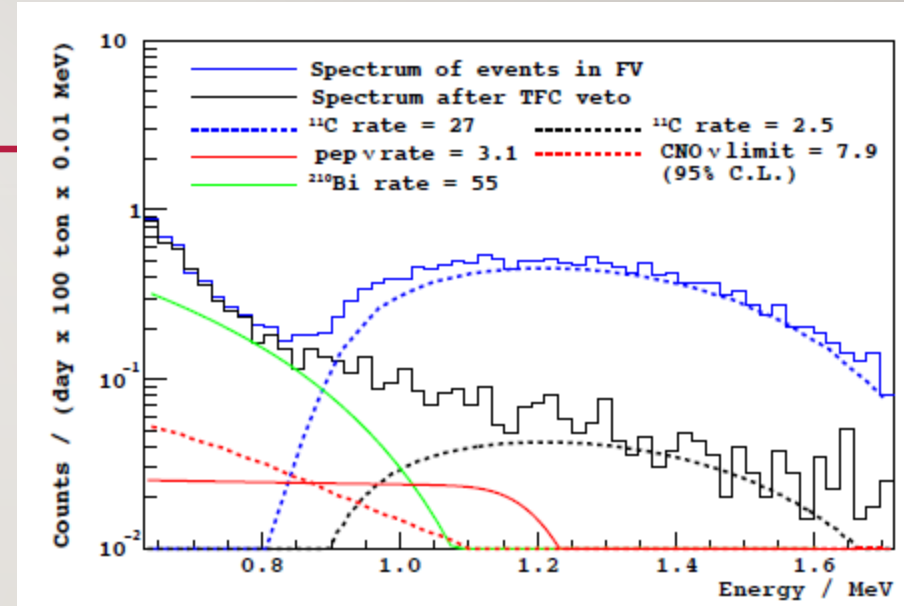
Residual exposure 48.5%

## I. TFC- three fold coincidence



n capture on H:  $\gamma$  2.2 MeV  
Space and time Veto

- Annihilation of the positron
- n thermalization



## 2. Pulse shape discrimination

- ortho-positronium with 140 ns lifetime, reduced to about 3 ns in the l.s.
- 2  $\gamma$ s produced in the positron annihilation  $\rightarrow$  distributed topology

## Solar physics- pp cycle

reaction	Borexino rates (cpd/100t)	Borexino fluxes (cm <sup>-2</sup> s <sup>-1</sup> )	SSM HZ Fluxes (cm <sup>-2</sup> s <sup>-1</sup> )	SSM LZ Fluxes (cm <sup>-2</sup> s <sup>-1</sup> )	Global fit Fluxes (*) (cm <sup>-2</sup> s <sup>-1</sup> )
pp	$134 \pm 10^{+6}_{-10}$	$(6.1 \pm 0.5^{+0.3}_{-0.5}) \times 10^{10}$	$5.98(1 \pm 0.006) \times 10^{10}$	$6.03(1 \pm 0.005) \times 10^{10}$	$5.97^{+0.037}_{-0.033} \times 10^{10}$
<sup>7</sup> Be	$48.3 \pm 1.1^{+0.4}_{-0.7}$	$(4.99 \pm 0.11^{+0.06}_{-0.12}) \times 10^9$	$4.93 (1 \pm 0.06) \times 10^9$	$4.50(1 \pm 0.06) \times 10^9$	$4.80^{+0.24}_{-0.22} \times 10^9$
pep <sup>s</sup> (HZ)	$2.43 \pm 0.36^{+0.15}_{-0.22}$	$(1.27 \pm 0.19^{+0.08}_{-0.12}) \times 10^8$	$1.44 (1 \pm 0.009) \times 10^8$	$1.46 (1 \pm 0.009) \times 10^8$	$1.448 \pm 0.08 \times 10^8$
pep <sup>s</sup> (LZ)	$2.65 \pm 0.36^{+0.15}_{-0.24}$	$(1.39 \pm 0.19^{+0.08}_{-0.13}) \times 10^8$	$1.44 (1 \pm 0.009) \times 10^8$	$1.46 (1 \pm 0.009) \times 10^8$	
<sup>8</sup> B	$0.220^{+0.015+}_{-0.016-}$	$5.68^{+0.39+0.03}_{-0.41-0.03}$	$5.46 (1 \pm 0.12) \times 10^6$	$4.50(1 \pm 0.12) \times 10^6$	$5.16^{+0.13}_{-0.09} \times 10^6$
hep	<0.002 (90% C.L.)	< 1.6 × 10 <sup>5</sup> (90% C.L.)	$7.98 (1 \pm 0.30) \times 10^3$	$8.25(1 \pm 0.12) \times 10^3$	

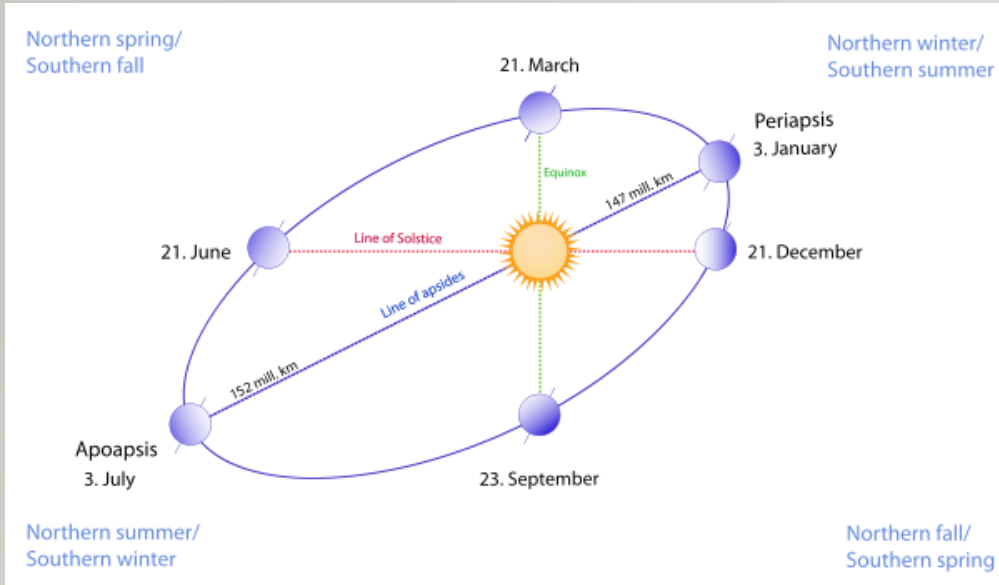
from A. Serenelli , F.Villante et al.

from J. Bergstroem et al.,

Z=metallicity



# Seasonal modulation

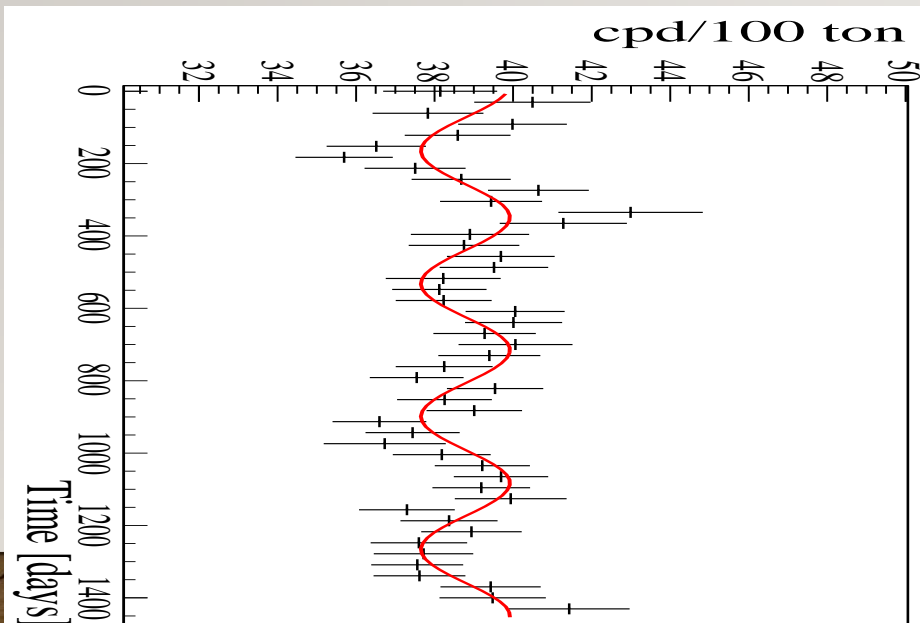


eccentricity of the Earth orbit: 6.7% of total rate difference

- 1456 astronomical days of data
- energy range: 215-715 keV ( $^7\text{Be}$  region)


## Modulation analysis

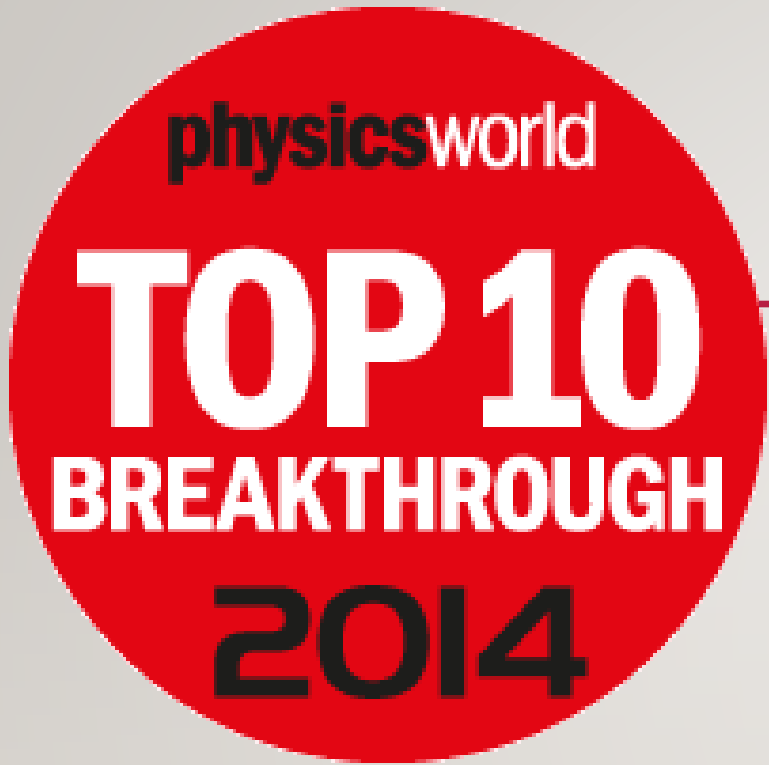
- *sinusoidal fit*
- *Lomb-Scargle method*- an extension of the Fourier Transform approach- can treat data sets not evenly distributed in time



- null hypothesis rejected at  $3.9\sigma$  (99.99% C.L.)
- modulation amplitude  $(7.1 \pm 1.9)\%$
- best-fit period is  $T = 367.0 \pm 10$  days.

## pp cycle- conclusions

1. experimental evidence of the **individual nuclear reactions producing neutrinos in the pp solar cycle**, which is the source of **99% of the Sun's energy**.
2. a good **agreement between the experimental data and the model**, obviously within the experimental errors and the uncertainties of the model predictions
3. good agreement between the solar luminosities measured through photons and through neutrinos :  $L = (3.89^{+0.35}_{-0.42}) \times 10^{33} \text{ erg s}^{-1}$  for neutrinos and  $L = (3.846 \pm 0.015) \times 10^{33} \text{ erg s}^{-1}$  for photons (random track)  the Sun is in **thermodynamic equilibrium over  $10^5$  years time scale**
4. ratio between the **two pp chain branches**,:  $R_{I/II} = 2\Phi(^7\text{Be}) / [\Phi(\text{pp}) - \Phi(^7\text{Be})] = 0.178^{+0.027}_{-0.023}$ , in **accordance with the expectations of the solar model** that give  $0.180 \pm 0.011$  for the high metallicity and  $0.161 \pm 0.010$  for the low metallicity



From the British IOP

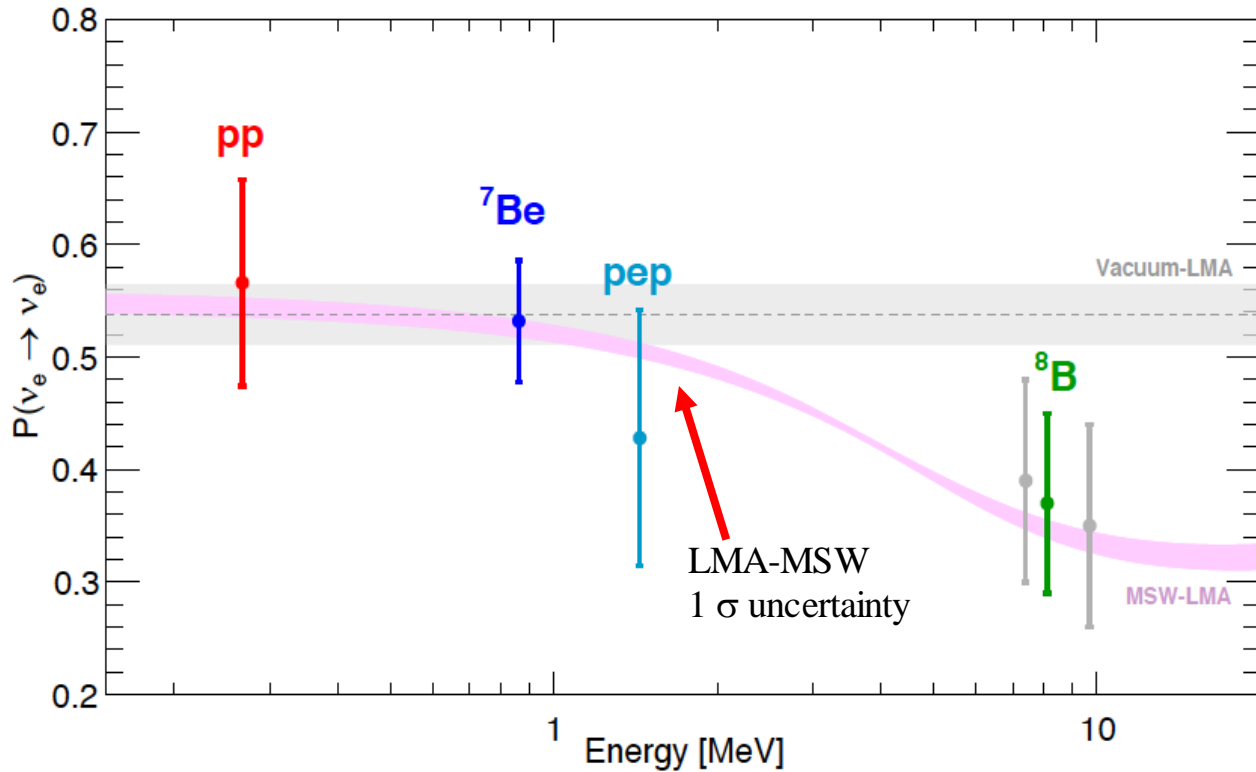


Celebratory stamp of the Italian Post Office



# NEUTRINO PHYSICS

# Neutrino Physics



electron neutrino survival probability: from 60 keV to  $>10$  MeV.

1. Borexino has measured the electron neutrino  $P_{ee}$  in the *vacuum regime*, where, according to the MSW model, the vacuum dominates
2. The Borexino data allowed to probe the vacuum-matter transition from a single experiment.
3. Despite the uncertainty of the various points, that incorporate both the experimental errors and the SSM uncertainties, the experimental results seem in agreement with the predictions of the MSW-LMA model.

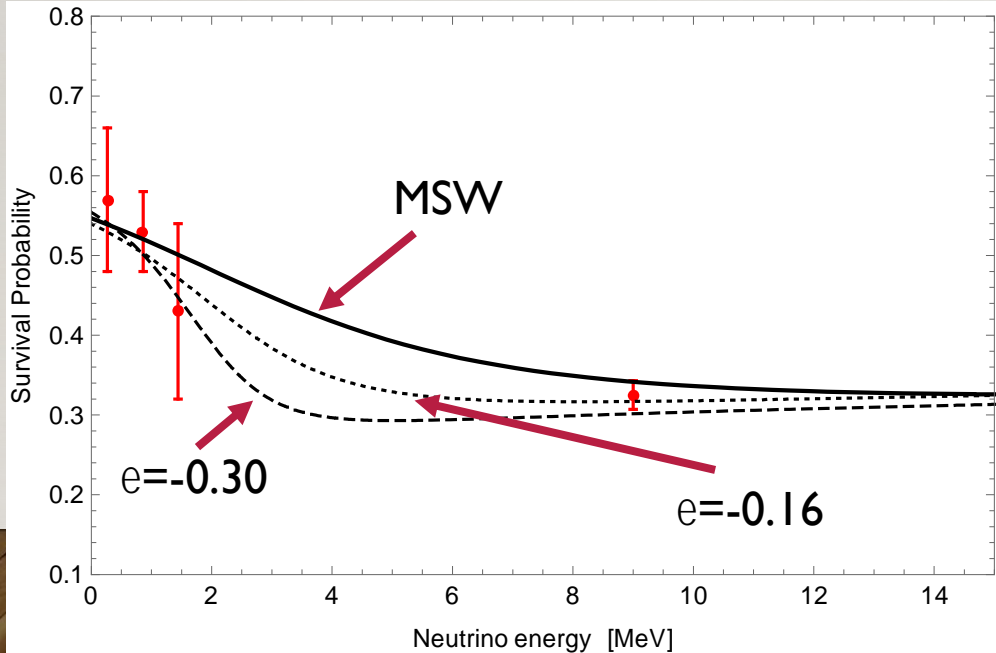
# Not Standard neutrino Interaction (NSI)

exposure of 1271 days x 71.3 tons

Theories beyond the Standard Model postulate the existence of Non-Standard Interactions (NSI), where flavor-changing NC is possible

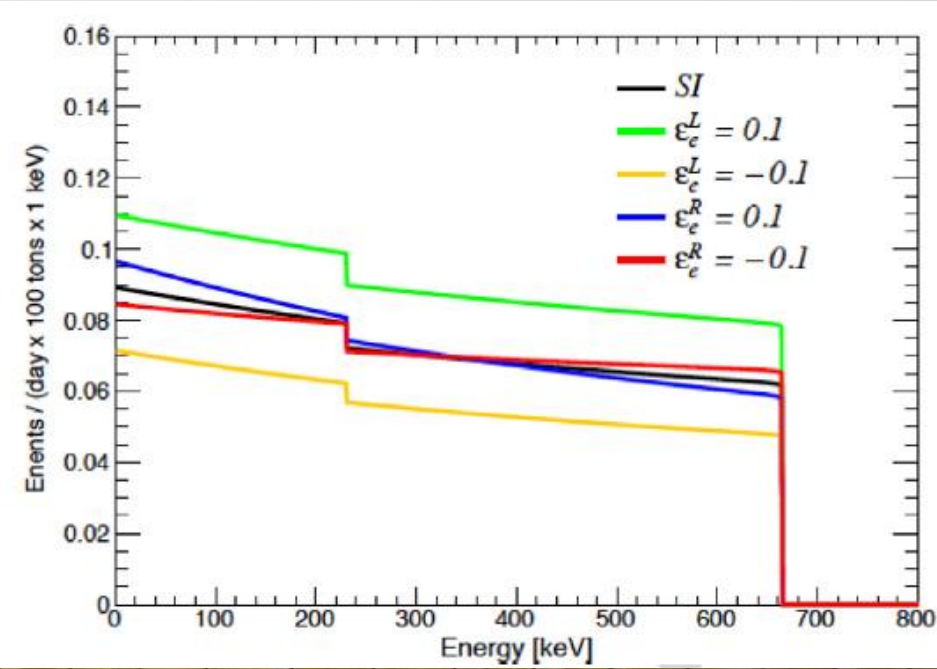
The NSI Lagrangian : 
$$-\mathcal{L}_{\text{NC-NSI}} = \sum_{\alpha,\beta} 2\sqrt{2}G_F \epsilon_{\alpha\beta}^{ff'C} (\bar{\nu}_\alpha \gamma^\mu P_{L\nu\beta}) (\bar{f} \gamma_\mu P_C f'),$$
 where  $\epsilon_{\alpha\beta}^{ff'C}$  parametrizes the NSI strength

normalized to 1,  $f$  and  $f'$  are leptons or quarks,  $\alpha, \beta = e, \mu, \tau$  and  $C$  is the chirality of  $ff'$  current (L or R). In this analysis only flavor-diagonal case  $f=f'=e$  and  $\alpha=\beta$  is considered, with  $\epsilon_{ee}^{LL} = \epsilon_{ee}^{RR}$ . The NSI affects the neutrino propagation in matter and in particular **the vacuum-matter intermediate region**. The analysis is carried out on the  $n$ -e elastic scattering which is very sensitive to NSI.

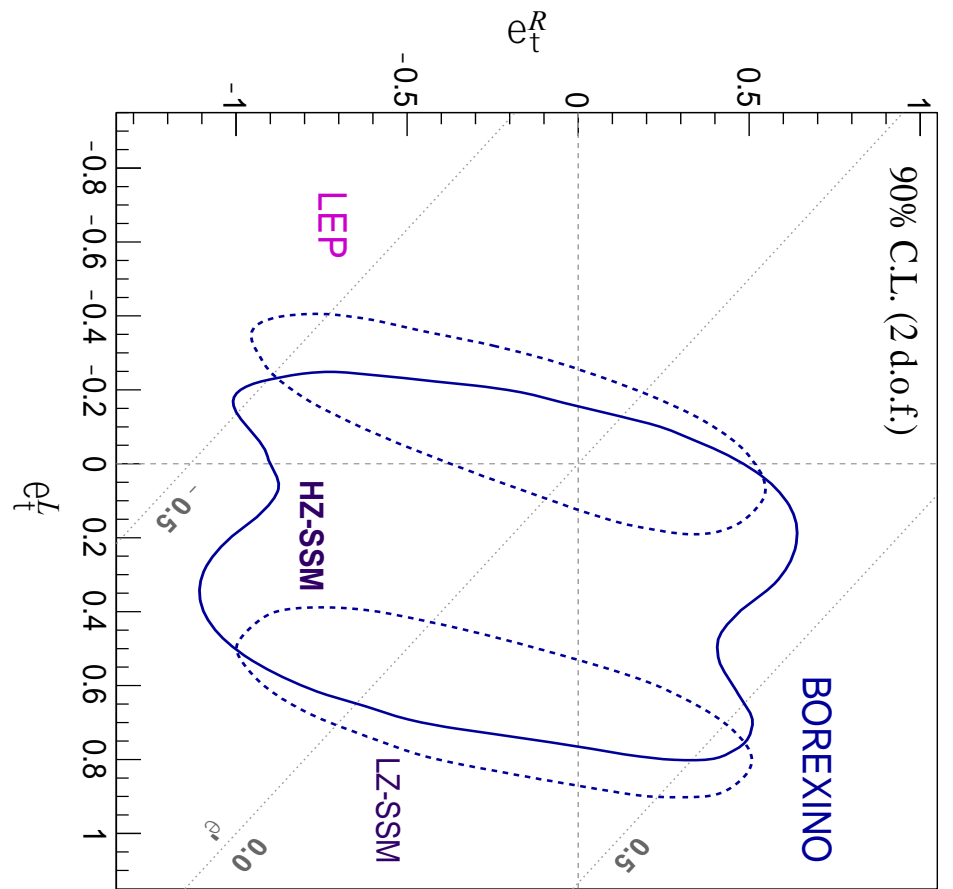


$n$ -e elastic scattering differential rate for  $^7\text{Be}$  solar neutrinos with and without NSI

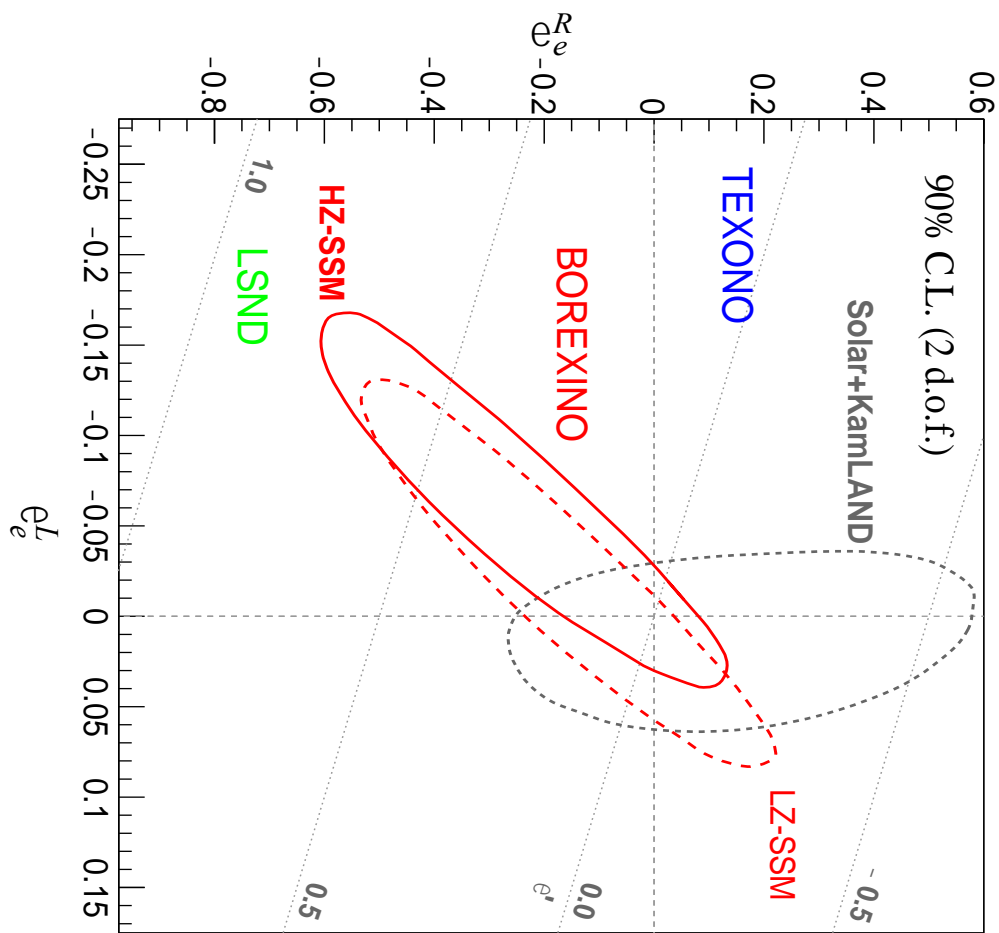
pp,  $^7\text{Be}$ , pep data







allowed region for  $\varepsilon_\tau^{R,L}$  with  $\varepsilon_e^{R,L}$  fixed at zero.



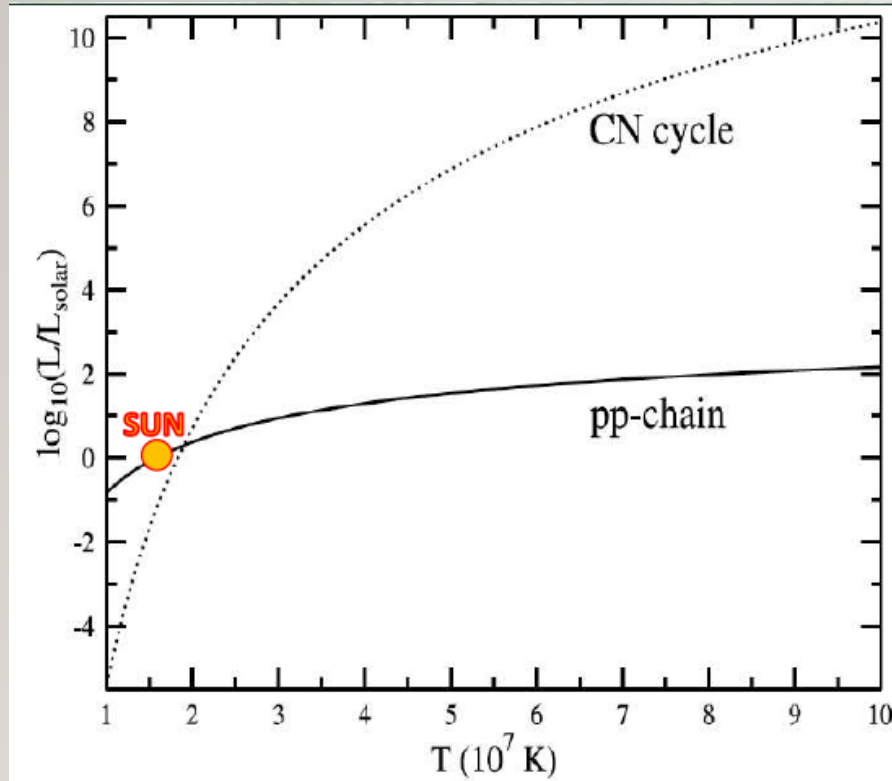
allowed region for  $\varepsilon_e^{R,L}$  with  $\varepsilon_\tau^{R,L}$  fixed at zero

$\epsilon_{\alpha\beta}^{ff'C}$  parametrizes the strength of NSI

# THE CNO CYCLE: FROM THE SUN TO THE STARS

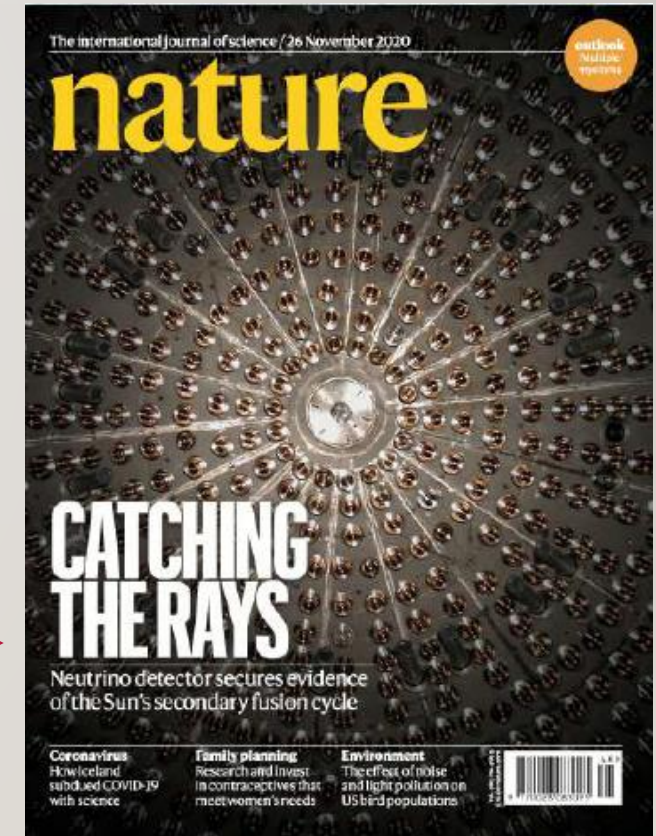
# CNO Cycle

- In the Sun the CNO cycle **contributes only for 1%**.
- In the **massive stars is considered dominant** and reaches in their core a temperature of a few  $\times 10^8$  K, needed to counterbalance the gravitational force thus preventing their implosion
- But this hypothesis, which dates back to the 1930 (Bethe and Von Weizsäcker ), has never been experimentally tried.



W.C. Haxton, A.M. Serenelli (2008)

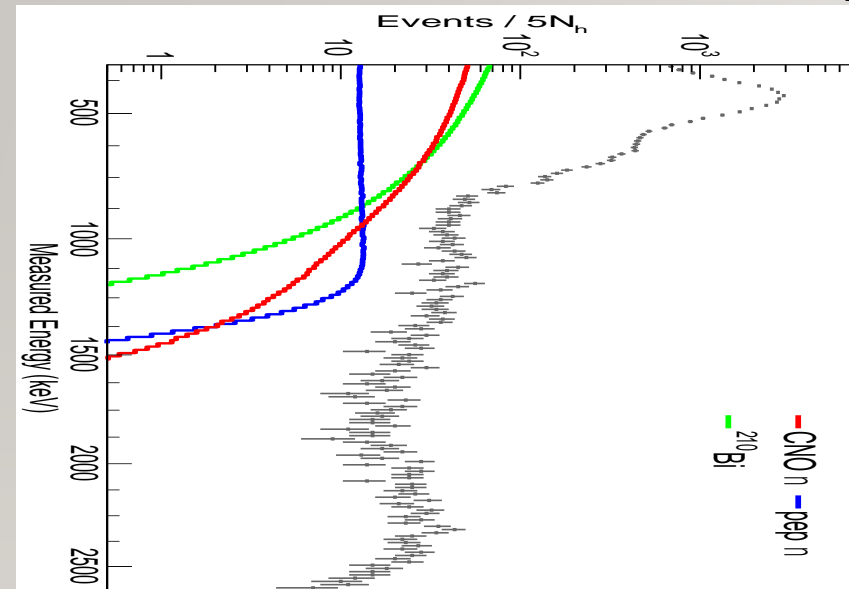
**The experimental demonstration of the existence of the CNO cycle has been the most recent achievement of Borexino**





# CNO Cycle

difficulties in measuring the CNO because  $^{210}\text{Bi}$ , CNO, pep fall in the same energy window and the energy spectrum of CNO has no particular tagging



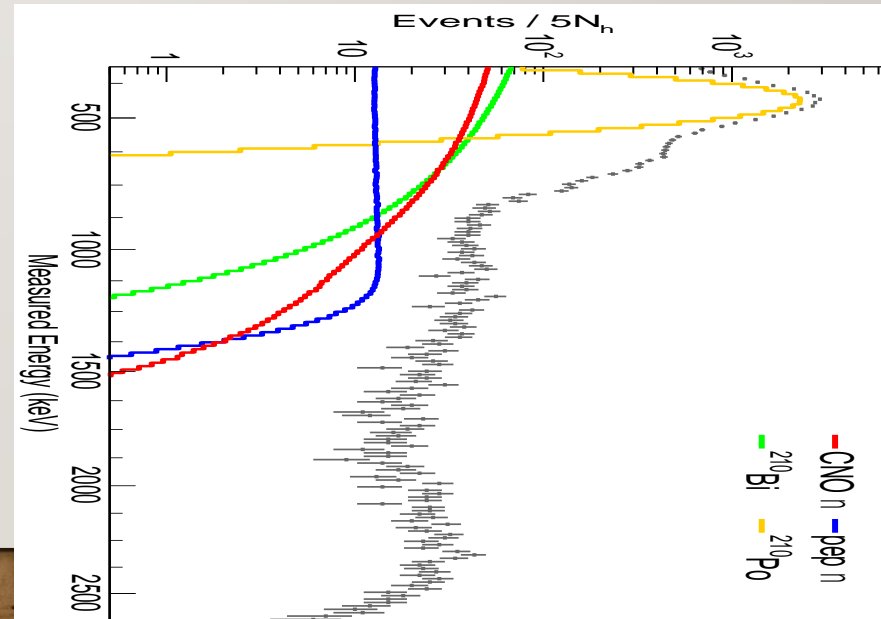
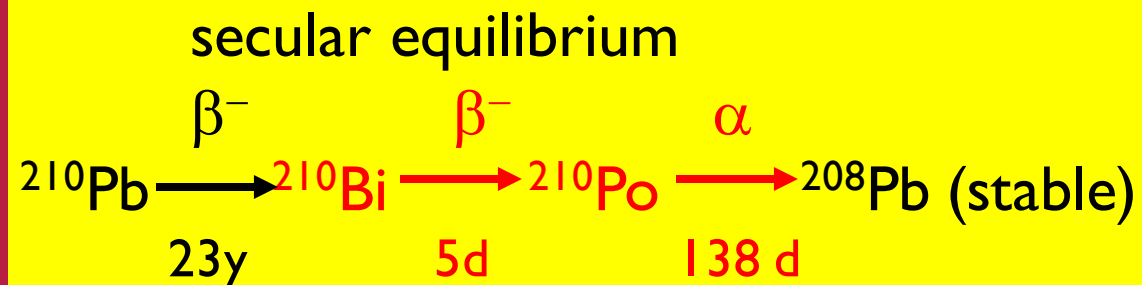
- Borexino data
- CNO v expected spectrum
- $^{210}\text{Bi}$  spectrum
- pep v spectrum

The **spectral fit** returns only the sum of CNO and  $^{210}\text{Bi}$ , if both are left free

**BUT**

The pep flux can be constrained at the **1.4 % level** through the solar luminosity constraint coupled to SSM predictions on the pp to pep rates ratio and the most recent oscillation parameters - J. Bergström et al., JHEP, 2016:132, 2016

what is needed is a **reliable determination** of  $^{210}\text{Bi}$ ;  
a **constraint** on it can be obtained from  $^{210}\text{Po}$



# CNO Cycle

The goal is to extract the  $^{210}\text{Bi}$  rate from the  $^{210}\text{Po}$ , which decaying has to reach a constant plateau.

$^{210}\text{Po}$  can be easily identified via the  $\alpha/\beta$  pulse shape discrimination

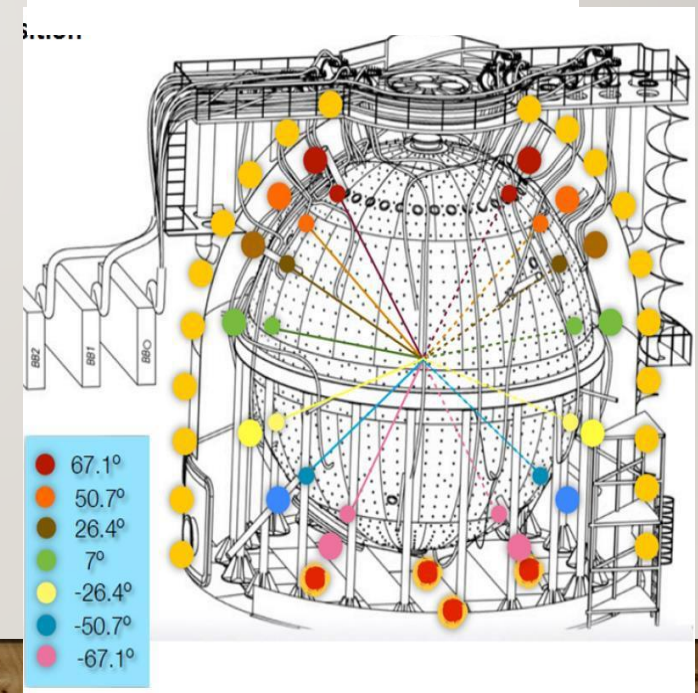
**Due to the secular equilibrium an independent measurement of the  $^{210}\text{Po}$  decay rate gives the  $^{210}\text{Bi}$  decay rate**

$^{210}\text{Po}$  consists of two components, one **out of equilibrium** which increases during operations as purification or scintillator refilling and a second one **in secular equilibrium**. The component O. of S.E. decays and the rate reaches a constant plateau corresponding to the component in S.E. with  $^{210}\text{Pb}$  and then with  $^{210}\text{Bi}$ . Fluctuations are observed in this plateau due to convective motions which bring in the F.V.  $^{210}\text{Po}$  present on the I.V. walls, produced by the  $^{210}\text{Pb}$

**Then we have to avoid the convective motions**

**a stabilization of the temperature is necessary**

temperature probes-



# CNO Cycle

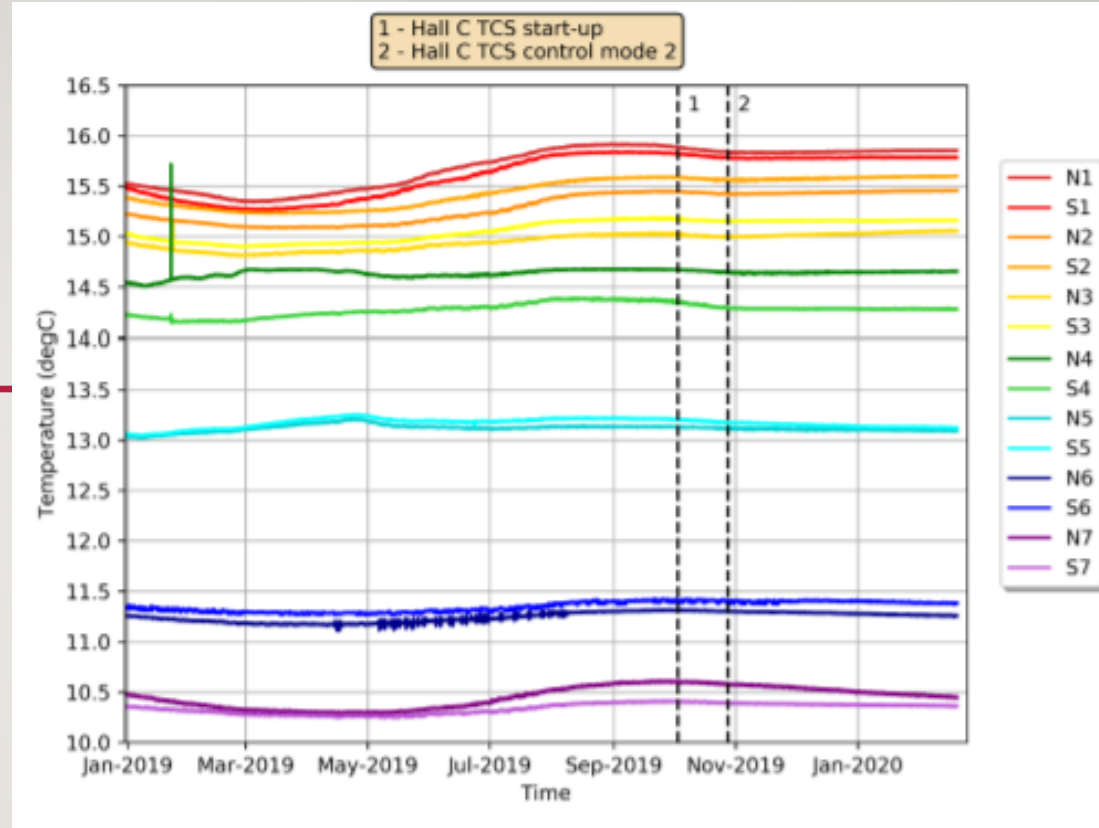
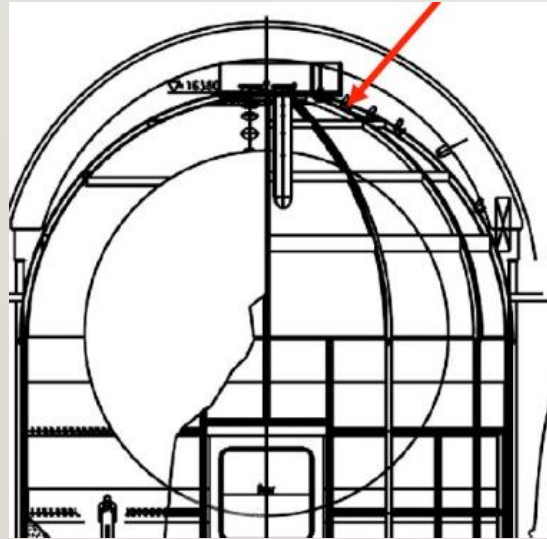
## Stabilization system-2014-2016

### 1<sup>st</sup> step: thermal insulation

- Double layer of mineral wool (thermal conductivity down to 0.03 W/m/K)



2<sup>nd</sup> step: copper coils under insulation- water in the serpentines controls the top temperature at about 15,5 °C -the bottom temperature (rock) is ~ 7. °C  
Top-bottom gradient stabilized

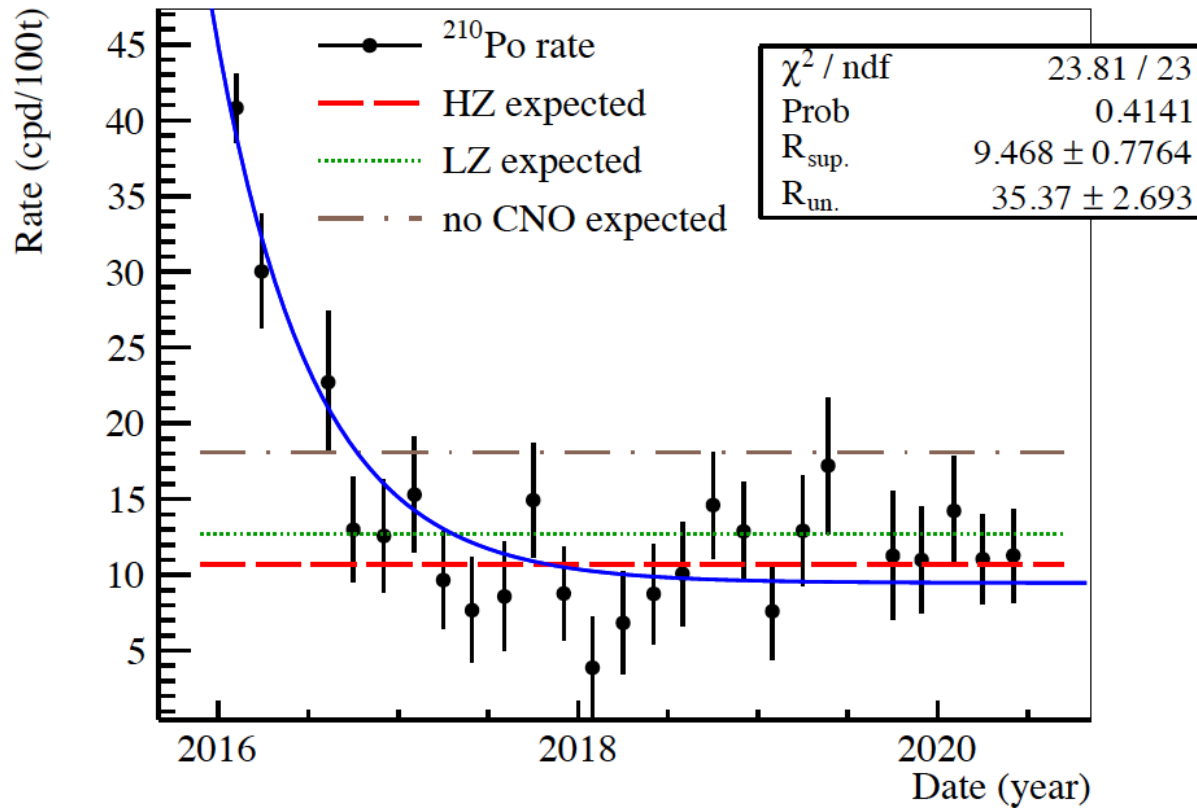


Excellent temperature stability achieved

Probes resolution 0.07 °C



## CNO Cycle

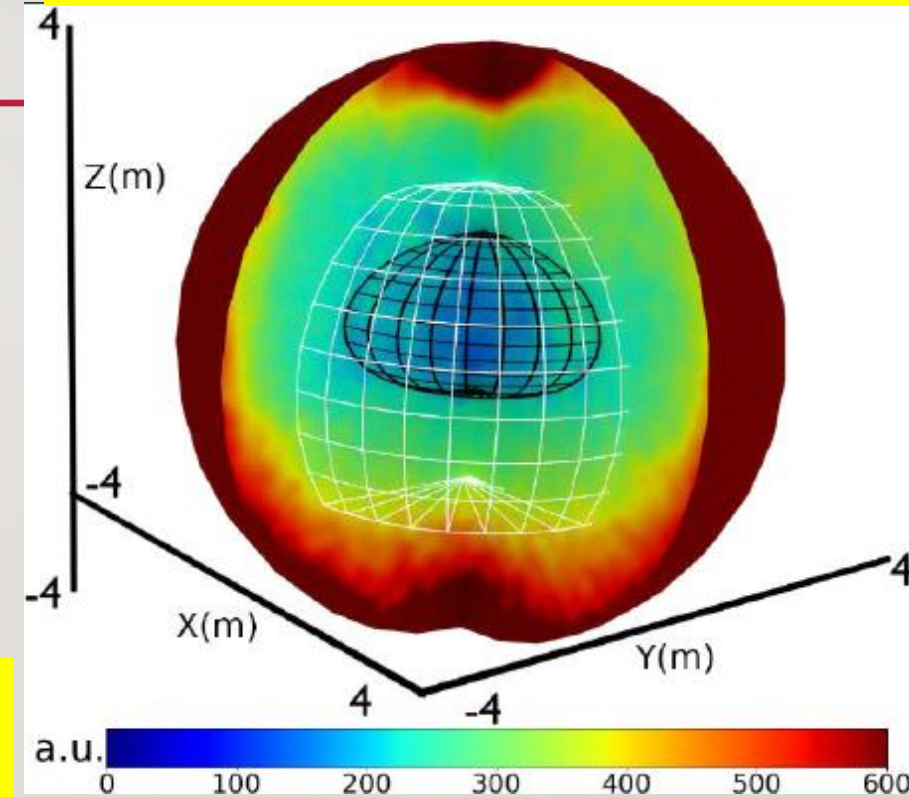


1.  $^{210}\text{Po}$  (alpha) events are fitted to find the minimum  $^{210}\text{Po}$  rate in the sub-region
2. Low Polonium Field (LPoF) at around 80cm above equator, but it moves over time very slowly

Check the spatial uniformity of  $^{210}\text{Bi}$ -

Uniform within about 0.55 cpd/100 tons

Three-dimensional view of the  $^{210}\text{Po}$  activity inside the entire Inner Vessel - the innermost blueish region contains the LPoF (black grid) - the white grid is the software-defined Fiducial Volume



The LPoF blueish region corresponds to about 20 tons to be compared to about 78 tons of the Fiducial adopted in this analysis



# CNO Cycle

$^{210}\text{Po}$  rate from the Low Polonium with all errors:  $R_{\min} = 11.5 \pm 1.3 \frac{\text{cpd}}{100\text{ t}}$

The lowest  $^{210}\text{Po}$  rate has been conservatively assumed as a upper limit for  $^{210}\text{Bi}$ , because we cannot exclude in principle that residual  $^{210}\text{Po}$  from the vessel surface would be present

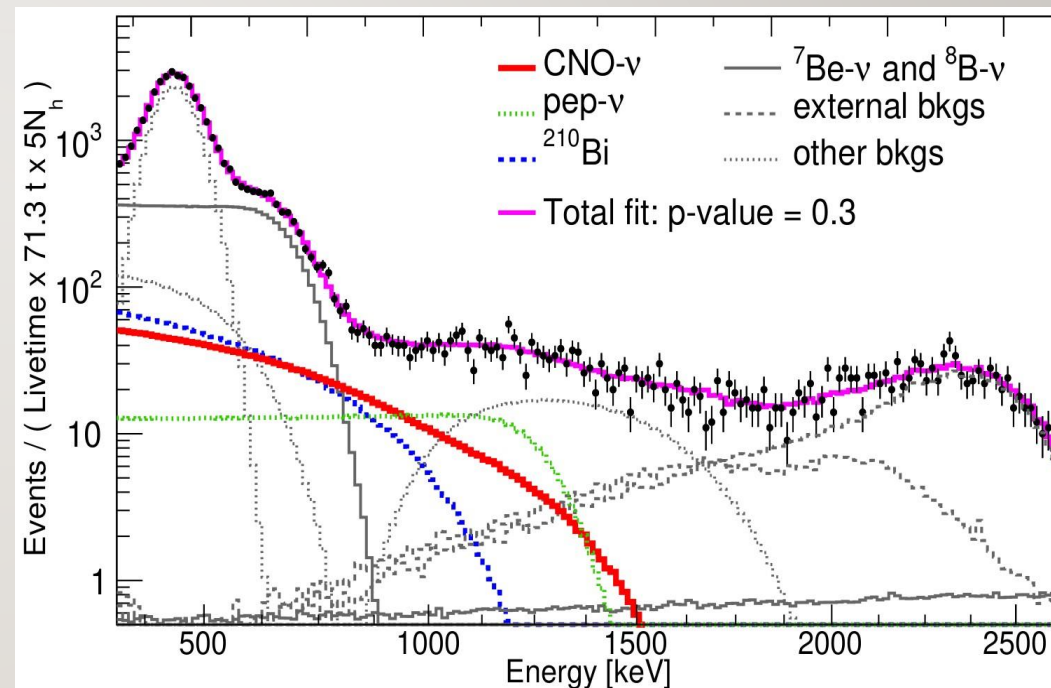


$$R(^{210}\text{Bi}) \leq 11.5 \pm 1.3 \text{ cpd}/100\text{t}$$

Multivariate fit (0.32-2.64 MeV)  
July '16 – February '20

Maximization of a binned likelihood: 3 distributions simultaneously:

- Reconstructed energy for TFC-tagged and TFC-subtracted datasets ( $^{11}\text{C}$  identification)
- Radial position



pep-v rate constrained – solar luminosity  
 $^{210}\text{Bi}$  rate constrained --  $^{210}\text{Bi}$ - $^{210}\text{Po}$  tagging  
CNO rate -left free  
Other v and bkg rates- left free

**CNO Cycle**

**From the multivariate fit**

Further systematic: energy response function -from detector calibration  
1. energy scale  $\sim 0.23\%$   
2. non linearity  $\sim 0.4\%$

**Likelihood significance**

**13.8 millions pseudo-datasets with no CNO**

Real dataset

**CNO result:  $7.2 (-1.7 +3.0)$  cpd/100t stat + sys**

**M.L. significance greater than  $5\sigma$  at 99% CL**

**corresponding to a flux of neutrinos on Earth;  
 $7.0 (-1.9 +2.9) \times 10^8 \text{ cm}^{-2} \text{ s}^{-1}$**

**No CNO hypothesis disfavored at  $5\sigma$**

**When all solar neutrino fluxes measured by Borexino, including CNO, are combined, the LZ hypothesis is disfavored at a level of  $2.1\sigma$ .**



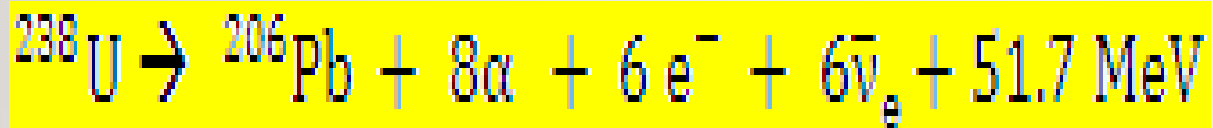
# Antineutrinos from the Earth



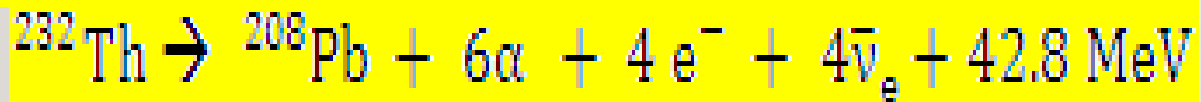
## Geoneutrino = antineutrinos from the Earth

naturally present in the Earth, produced by the natural radioactivity: only experiments using liquid scintillator- They are : **Borexino and KamLAND** ; both detect the antineutrinos **via the Inverse beta decay, with a threshold at 1.806 MeV**

Therefore the only natural chains detected are.



38%



15%

Their spectrum ends at **3.26 MeV** due to the  $^{214}\text{Bi}$  decay in the  $^{238}\text{U}$  chain;. due to the **6371 km of the Earth** radius, the oscillation effect is averaged and the **Pee is about 0.54.**

TNU (Terrestrial Neutrino Unit): number of interactions detected in 1 year on a target of  $10^{32}$  protons, corresponding to  $\sim 1$  kt of Liquid scintillator.

Background: the **cosmogenic unstable isotopes**, the  **$(\alpha, n)$  reactions** and the **antineutrinos from the nuclear reactors**

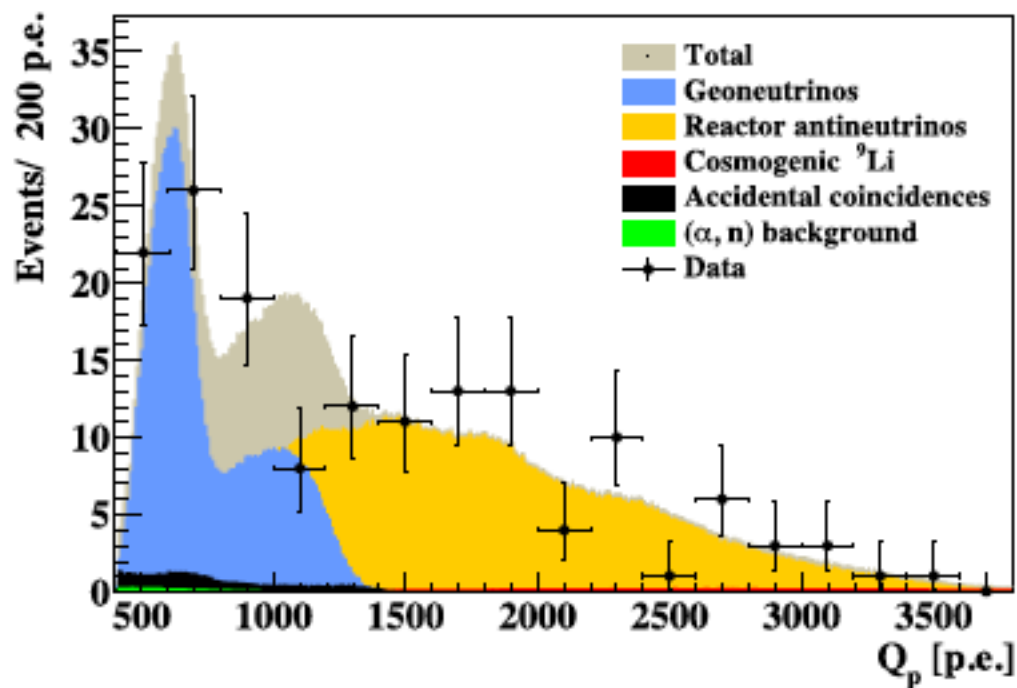
easily rejected by proper energy cuts

negligible due to the unprecedented low radioactivity achieved in Borexino

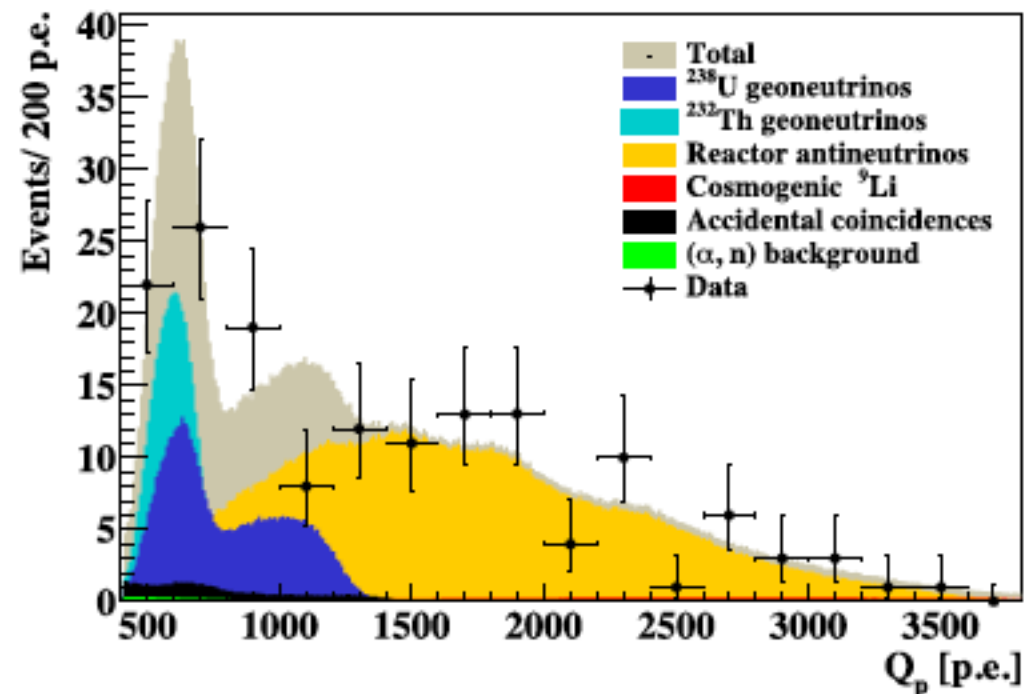
Calculated, using the data base of the IAEA, and taking into account all important parameters concerning 194 European plus 246 world power reactors

**December 2007–April 2019.      3263.74 days.       $(1.29 \pm 0.05) \times 10^{32}$  proton x year**

**geo-neutrinos flux: about  $10^6 \text{ cm}^{-2} \text{ s}^{-1}$**



chondritic ratio  $^{238}\text{U}/^{232}\text{Th} = 3.9$  assumed



Th and U free parameters

Geo- $\nu$  events\*

$$51.9^{+9.4}_{-8.6}(\text{stat})^{+2.7}_{-2.1}(\text{syst})$$

Geo- $\nu$  events (TNU)\*

$$46.3^{+8.4}_{-7.7}(\text{stat})^{+2.4}_{-1.8}(\text{syst})$$

Geo- $\nu$  events\*\*

$$N_{\text{Tot}} = 48.9^{+25.1}_{-20.7}$$

$$N_{\text{U}} = 27.8^{+15.4}_{-11.8}$$

$$N_{\text{Th}} = 21.1^{+9.7}_{-8.4}$$

Expected reactor antineutrinos

$$97.6 \pm 1.7(\text{stat}) \pm 5.2(\text{syst})$$

Expected reactor antineutrinos (TNU)

$$79.7^{+1.4}_{-1.3}$$

Observed reactor antineutrinos\*

$$92.5^{+13.3}_{-10.5}$$

- Extraction of the mantle Signal
- Estimation of the radiogenic heat
- Comparison of the data with the various Bulk Silicate Earth models: cosmo-chemical, geochemical, geodynamical

**Mantle signal-** First the contribution of the crust has to be subtracted: two components, crust in the region around the Gran Sasso (**LOC**) is calculated considering an area of **492 km x 444 km**, and the contribution of the rest of the crust (**ROC**), using the **1°x1° 3D** model and integrating the contribution on the whole Earth. The two contributions give in total **29.8<sup>+5.5</sup><sub>-4.6</sub>** events.

The mantle contribution, is obtained by subtracting the crust rate : **N<sub>mantle</sub> = 23.1 events**

No signal rejected with 99.0% C.L.. With MC pseudo.experiment: (<sup>238</sup>U)> 13ppb and (<sup>232</sup>Th)> 48 ppb :

Adding the 40K (18%) :

$$H_{\text{rda}}^{\text{mantle}}(\text{H}+\text{Th}+\text{K})=8.1^{+1.9}_{-1.4} \text{ TW}$$

**Adding the crust contribution**

$$H_{\text{rad}}(\text{H}+\text{Th}+\text{K})=38.2^{+13.6}_{-1.4} \text{ TW}$$

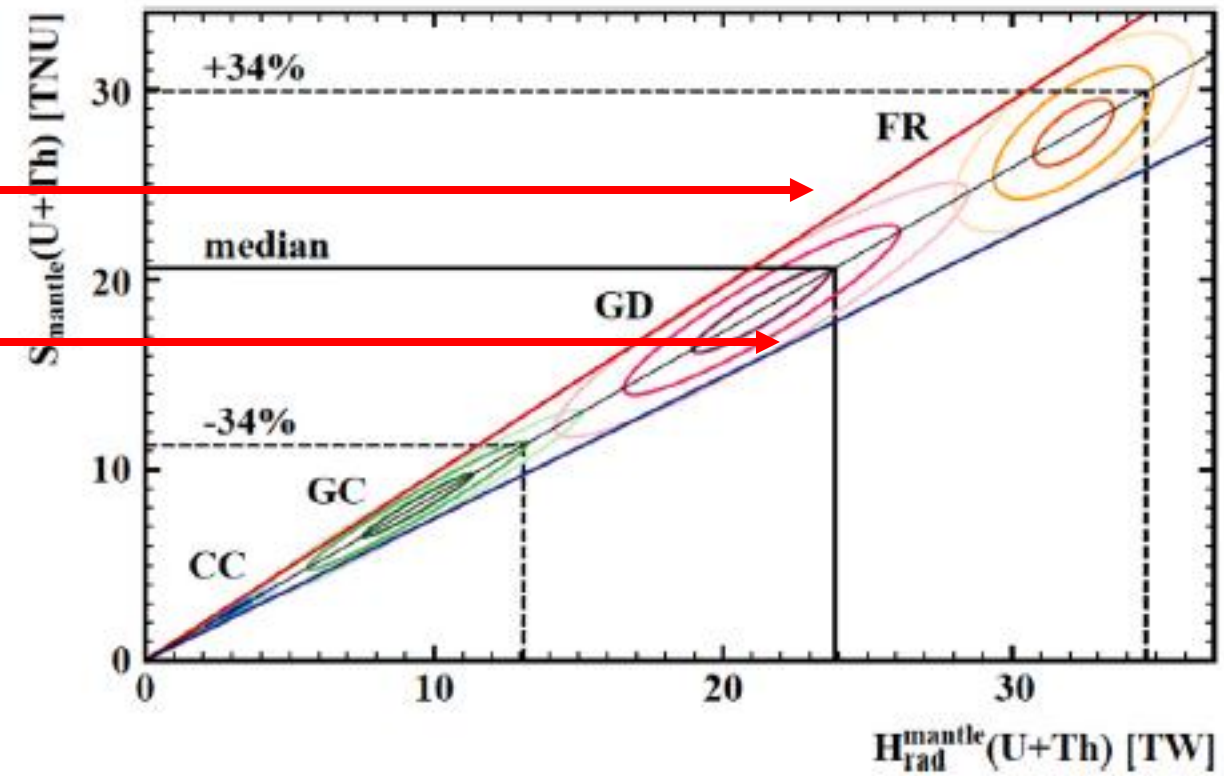
About **81%** of the total terrestrial heath

**Radiogenic heath.** radiogenic power of the lithosphere, LOC + ROC, can be calculated taking into account the events rate and the heat produced by the single radioactive decay :  $(\text{U} + \text{Th}) = 6.9^{+1.6}_{-1.2} \mu\text{W/kg}$ .

But the distribution of radioactive nuclides in the mantle is unknown;; two hypotheses: **distribution homogeneous** and *concentrated around the Core–Mantle boundary-*

maximum

minimum





# Conclusions

1. Borexino has been the first experiment probing **sub-MeV neutrinos in real-time**, and is still now **the unique experiment** able to proceed with these studies.
2. Borexino has measured for **the first time all pp chain nuclear reactions producing neutrinos**, measuring, in particular, simultaneously the pp,  ${}^7\text{Be}$ , and pep neutrino flux,  ${}^8\text{B}$  neutrinos with a low threshold and probing hep neutrinos.
3. These results paved the way to actual breakthroughs not only on Solar physics, but also on neutrino physics. **The  $\nu_e$  survival probability in the vacuum regime is measured** for the first time by Borexino and the **vacuum-matter transition** has been probed by a single experiment. In addition, a number of non-standard neutrino interactions has been studied by Borexino with world leading limits.
4. The detection of the CNO cycle closes a long history, which began in the 90s of the last century, when Hans Bethe and Carl Friedrich von Weizsacker, independently, proposed that the fusion of hydrogen in stars could also be catalyzed by nuclei heavier than He. Then the theory of energy generation hypothesizes that the CNO would be the primary channel for hydrogen burning in stars more massive than the Sun, and it is in fact the primary channel for hydrogen burning in the Universe. This hypothesis never received an observational confirmation until now, when Borexino **has observed CNO neutrinos** proving also that its contribution in the Sun is of the order of 1%.
5. The pp and CNO cycles measurements give an hint in favor of the high metallicity inside the Sun.
6. Again thanks to the low intrinsic background, Borexino has **observed geo-neutrinos** with  $>5\sigma$  statistical significance and studied them to obtain Earth geo-physical and geo-chemical information.

# The Borexino collaboration



Thank you !!!!



